

## ***COURSE SYLLABUS***

Political Science 6321: Proseminar in Comparative Politics  
4:00-6:45 p.m., Monday, Spring Semester, 2018

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### ***Contact Information***

Professor: (Dr.) Marianne Stewart

Office E-Mail: [mstewart@utdallas.edu](mailto:mstewart@utdallas.edu)

Office Hours: 2:30-3:45 p.m., Monday; 11:30-12:45 p.m., Wednesday; and by appointment

Office Location: 3.226 GR

### ***Course Description***

The general objective of this graduate seminar course is to (re)introduce students to the comparative study of state (trans)formation and regime change, of government-political institutions, of people's behaviors, and of public policy and people's well-being. The specific objectives of the course are to help students learn to:

- describe and explain important concepts and causal relationships in, as well as similarities and differences between, major theories in the study of comparative politics;
- describe and explain methodological designs and empirical research in the study of comparative politics;
- assess major explanations and important consequences of the study of comparative politics for people's well-being.

### ***Course Readings***

Boix, Carles and Susan C. Stokes, eds. 2009. *The Oxford Handbook of Comparative Politics*. Oxford: Oxford University Press. Paperback ISBN 978-0-19-956602-0. .

Other readings available at <http://www.utdallas.edu/library/> or on e-learning.

### ***Course Schedule***

**January 8.** An Introduction to The Course.

Class lecture and discussion.

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**January 15.** Martin Luther King Day – No Class.

**January 22.** Science, Political Science, and The Study of Comparative Politics.

Class lecture and discussion.

Required readings:

In Boix and Stokes, eds., *The Oxford Handbook of Comparative Politics*:

“Introduction” by Carles Boix and Susan C. Stokes.

Chapter 6, "Is the Science of Comparative Politics Possible?" by Adam Przeworski..  
 Munger, Michael. 2000. "Political Science and Fundamental Research," pp. 25-30. In *PS: Political Science & Politics*. 2000. Washington: American Political Science Association. Available on e-learning.

**January 29.** Theory, Methodology and The Study of Comparative Politics.

Class lecture and discussion.

Required readings:

In Boix and Stokes, eds., *The Oxford Handbook of Comparative Politics*:

Chapter 8, "Collective Action Theory" by Elinor Ostrom.

Chapter 4, "The Case Study: What it is and What it Does" by John Gerring..

Chapter 7, "From Case Studies to Social Science: A Strategy for Political Research" by Robert H. Bates.

Chapter 5, "Field Research" by Elisabeth Jean Wood.

Chapter 3, "Historical Enquiry and Comparative Politics" by James Mahoney and Celso M. Villegas.

Sartori, G. 1991. "Comparing and Miscomparing," *Journal of Theoretical Politics* 3: 243-257.

Recommended readings:

Almond, Gabriel A. 1960. "Introduction: A Functional Approach to Comparative Politics." In Gabriel A. Almond and James S. Coleman, eds., *The Politics of the Developing Areas*. Princeton: Princeton University Press.

Franzese, Jr., Robert J. 2009. Chapter 2, "Multicausality, Context-Conditionality, and Endogeneity." In Boix and Stokes, eds., *The Oxford Handbook of Comparative Politics*.

Holt, Robert T. and John E. Turner, eds. 1970. *The Methodology of Comparative Research*. New York: The Free Press.

Przeworski, Adam and Henry Teune. 1970. *The Logic of Comparative Social Inquiry*. New York: John Wiley & Sons.

In R.A.W.Rhodes, Sarah A. Binder, and Bert A. Rockman, eds. 2008. *The Oxford Handbook of Political Institutions*. New York: Oxford University Press:

Chapter 1, "Elaborating the 'New Institutionalism'" by James G. March and Johan P. Olsen.

Chapter 2, "Rational Choice Institutionalism" by Ken Shepsle.

Chapter 3, "Historical Institutionalism" by Elizabeth Sanders.

Chapter 5, "Network Institutionalism" by Chris Ansell.

Chapter 5, "Old Institutionalism" by R.A.W. Rhodes.

**February 5.** Comparative Politics and The Study of State (Trans)Formation

Class lecture and discussion.

Required readings:

Bates, Robert H. 2008. "State Failure," *Annual Review of Political Science* 11: 1-12.

In Boix and Stokes, eds., *The Oxford Handbook of Comparative Politics*:

Chapter 9, "War, Trade, and State Formation" by Hendrik Spruyt.

Chapter 10, "Compliance, Consent, and Legitimacy" by Russell Hardin.

Chapter 11, "National Identity" by Liah Greenfeld and Jonathan Eastwood.

Chapter 12, “Ethnicity and Ethnic Conflict” by Ashutosh Varshney.

Chapter 17, “Rethinking Revolutions: A Neo-Tocquevillian Perspective” by Steven Pincus.

Chapter 18, “Civil Wars” by Stathis N. Kalyvas.

Genschel, Philipp and Bernhard Zangl. 2014. “State Transformations in OECD Countries,” *Annual Review of Political Science* 17: 337-354.

Spruyt, Hendrik. 2002. “The Origins, Development, and Possible Decline of the Modern State,” *Annual Review of Political Science* 5: 127-149.

Recommended readings:

Jessop, Bob. 2008. Chapter 7 “The State and State-building.” In R.A.W. Rhodes, Sarah A. Binder and Bert A. Rockman, eds., *The Oxford Handbook of Political Institutions*. Oxford: Oxford University Press.

Skocpol, Theda. 1979. *States and Social Revolutions: A Comparative Analysis of France, Russia and China*. Cambridge: Cambridge University Press.

Strayer, Joseph. 1970. *On the Medieval Origins of the Modern State*. Princeton, NJ: Princeton University Press.

Tilly, Charles. 1975. *The Formation of National States in Western Europe*. Princeton, NJ: Princeton University Press.

Von der Muhll, George. 2003. “Ancient Empires, Modern States, and The Study of Government,” *Annual Review of Political Science* 6: 345-376.

## **February 12.** Comparative Politics and The Study of Regime Change.

Class lecture and discussion.

Required readings:

In Boix and Stokes, eds., *The Oxford Handbook of Comparative Politics*:

Chapter 13, “Mass Beliefs and Democratic Institutions” by Christian Welzel and Ronald Ingelehart.

Chapter 14, “What Causes Democratization?” by Barbara Geddes.

Chapter 15, “Democracy and Civic Culture” by Filippo Sabetti.

Chapter 16, “Dictatorship: Analytical Approaches” by Ronald Wintrobe.

Chapter 38, “Economic Transformation and Comparative Politics” by Timothy Frye.

Hale, Henry. 2013. “Regime Change Cascades: What We Have Learned from the 1848 Revolutions to the 2011 Arab Uprisings,” *Annual Review of Political Science* 16: 331-353.

Haggard, Stephan and Robert R. Kaufman. 2016. “Democratization During the Third Wave,” *Annual Review of Political Science* 19: 125-144.

Recommended readings:

Przeworski, Adam. 2009 “Self-Government in Our Times,” *Annual Review of Political Science* 12: 71-92.

Przeworski, Adam. 2016. “Democracy: A Never-Ending Quest,” 2006. *Annual Review of Political Science* 19: 1-12.

Shin, Doh Chull. 2007. Chapter 14 “Democratization: Perspectives from Global Citizens.” In Russell J. Dalton and Hans-Dieter Klingemann, eds., *The Oxford Handbook of Political Behavior*. New York, NY: Oxford University Press.

**February 19.** Comparative Politics and The Study of Government-Political Institutions.  
Class lecture and discussion.

Required readings:

In Boix and Stokes, eds., *The Oxford Handbook of Comparative Politics*:

Chapter 29, "Separation of Powers" by David Samuels.

Chapter 30, "Comparative Judicial Politics" by John Ferejohn, Frances Rosenbluth and Charles Shipan.

Chapter 31, "Federalism" by Pablo Beramendi.

Chapter 32, "Coalition Theory and Government Formation" by Kaare Strom and Benhamin Nyblade.

Helmke, Gretchen and Frances Rosenbluth. 2009. "Regimes and the Rule of Law: Judicial Independence in Comparative Perspective," *Annual Review of Political Science* 12: 345-366.

Hooghe, Liesbet and Gary Marks, "Does Efficiency Shape the Territorial Structure of Government?," *Annual Review of Political Science* 12: 225-241.

Ordeshook, Peter. 2002. "Are 'Western' Constitutions Relevant to Anything Other than The Countries They Serve?," *Constitutional Political Economy* 13: 3-24.

Recommended readings:

Gavison, Ruth. 2002. "What Belongs in a Constitution," *Constitutional Political Economy* 13: 89-105.

Filippov, Mikhail, Peter C. Ordeshook and Olga Shvetsova. 2004. *Designing Federalism: A Theory of Self-Sustainable Federal Institutions*. New York: Cambridge University Press.

In R.A.W. Rhodes, Sarah A. Binder and Bert A. Rockman, eds. 2008. *The Oxford Handbook of Political Institutions*. Oxford: Oxford University Press:

Chapter 12, "Comparative Constitutions" by Josep M. Colomer..

Chapter 14, "Comparative Federalism" by Brian Galligan..

Chapter 18, "Comparative Executive-Legislative Relations" by Matthew Shugart.

Chapter 26, "Judicial Institutions" by James L. Gibson.

Chapter 27, "Judicial Processes" by Kevin T. McGuire.

Chapter 19, "Public Bureaucracies" by Donald F. Kettl..

**February 26.** Test #1.

**March 5.** Research Report-Presentation Proposal Due.

**March 5/19.** Comparative Politics and The Study of People's Behaviors.

Class lecture and discussion.

Required readings:

Dahl, Robert A. 1961. "The Behavioral Approach in Political Science: Epitaph for a Monument to a Successful Protest," *American Political Science Review* 55: 763-772.

In Boix and Stokes, eds., *The Oxford Handbook of Comparative Politics*:

Chapter 27, "Aggregating and Representing Political Preferences" by G. Bingham Powell, Jr.

Chapter 28, "Electoral Systems" by Rein Taagepera

Chapter 21, "The Emergence of Parties and Party Systems" by Carles Boix.

- Chapter 22, "Party Systems" by Herbert Kitschelt.
- Chapter 23, "Voters and Parties" by Ann Wren and Kenneth M. McElwain.
- Chapter 24, "Parties and Voters in Emerging Democracies" by Frances Hagopian.
- Chapter 25, "Political Clientelism" by Susan C. Stokes.
- Chapter 33, "Comparative Studies of the Economy and the Vote" by Raymond M. Duch.
- Chapter 19, "Contentious Politics and Social Movements" by Sidney Tarrow and Charles Tilly.
- Chapter 20, "Mechanisms of Globalized Protest Movements" by Mark I. Lichbach and Helma G.E. de Vries.
- Chapter 26, "Political Activism: New Challenges, New Opportunities" by Pippa Norris.
- Chapter 37, "Accountability and The Survival of Governments" by Jose Maria Maravall.
- Benoit, Kenneth. 2007. "Electoral Laws as Political Consequences: Explaining the Origins and Change of Electoral Institutions," *Annual Review of Political Science* 10: 363-390.
- Gandhi, Jennifer and Ellen Lust-Okar. 2009.. "Elections Under Authoritarianism," *Annual Review of Political Science* 12: 403-422.
- Recommended readings:
- In Russell J. Dalton and Hans-Dieter Klingemann, editors. 2007. *The Oxford Handbook of Political Behavior*. New York, NY: Oxford University Press.
- Chapter 1, "Citizens and Political Behavior" by Russell J. Dalton and Hans-Dieter Klingemann.
- Chapter 4, "Elite Beliefs and the Theory of Democratic Elitism" by Mark Peffley and Robert Rohrschneider.
- Chapter 22, "Democratic Values" by Jacques Thomassen.
- Chapter 23, "An Institutional Theory of Political Choice" by Paul M. Sniderman and Matthew S. Levendusky.
- Chapter 27, "Economic Models of Voting" by Michael S. Lewis-Beck and Mary Stegmaier.
- Chapter 33, "Turnout in Elections" by Andre Blais.
- Chapter 37, "Social Movements" by Ruud Koopmans
- Chapter 38, "The Spread of Protest Politics" by Dieter Rucht.
- Chapter 42, "Perspectives on Political Participation" by Max Kaase.
- Chapter 47, "Research Resources in Comparative Political Behavior" by Miki Caul Kittilson
- Grofman, Bernard. 2016. "Perspectives on the Comparative Study of Electoral Systems," *Annual Review of Political Science* 19: 523-540.
- Kornberg, Allan, Joel Smith and Harold D. Clarke. 1979. *Citizen Politicians – Canada*. Durham, NC: Carolina Academic Press.
- LeDuc, Lawrence. 2003. *The Politics of Direct Democracy: Referendums in Global Perspective*. Toronto, ONT: Broadview Press.
- In R.A.W. Rhodes, Sarah A. Binder and Bert A. Rockman, eds. 2008. *The Oxford Handbook of Political Institutions*. Oxford: Oxford University Press:
- Chapter 28, "Political Parties In and Out of Legislatures" by John H. Aldrich.

Chapter 29, "Electoral Systems" by Shaun Bowler..

Chapter 30, "Direct Democracy" by Ian Budge..

Whiteley, Paul F. and Patrick Seyd. 2002. *High-Intensity Participation: The Dynamics of Party Activism in Britain*. Ann Arbor, MI: University of Michigan Press.

**March 12-18.** Spring Break – No Classes.

**March 26.** Comparative Politics and The Study of Public Policy and Public Well-Being.  
Class lecture and discussion.

Required readings:

In Boix and Stokes, eds., *The Oxford Handbook of Comparative Politics*:

Chapter 34, "Context-Conditional Political Budget Cycles" by James E. Alt and Shanna S. Rose.

Chapter 35, "The Welfare State in Global Perspective" by Matthew E. Carnes and Isabela Mares.

Chapter 36, "The Poor Performance of Poor Democracies" by Philip Keefer.

Jacobs, Alan M. 2016. "Policy Making for the Long Term in Advanced Democracies," *Annual Review of Political Science* 19: 433-454.

Mares, Isabela and Matthew E. Carnes. 2009. "Social Policy in Developing Countries," *Annual Review of Political Science* 12: 93-113.

Beramendi, Pablo and David Rueda. 2014. "Inequality and Institutions: The Case of Economic Coordination," *Annual Review of Political Science* 17: 251-271/

Boix. Carles. 2010. "Origins and Persistence of Economic Inequality," *Annual Review of Political Science* 13: 489-516.

Erikson, Robert S. 2015. "Income Inequality and Policy Responsiveness," *Annual Review of Political Science* 18: 11-29.

van de Walle, Nicolas. 2009. "The Institutional Origins of Inequality in Sub-Saharan Africa," *Annual Review of Political Science* 12: 307-327.

Recommended readings:

Acemoglu, Daron and James A. Robinson. 2013. *Why Nations Fail: The Origins of Power, Prosperity and Poverty*. Crown Publishers/Random House.

In R.A.W. Rhodes, Sarah A. Binder and Bert A. Rockman, eds. 2008. *The Oxford Handbook of Political Institutions*. Oxford: Oxford University Press:

Chapter 20, "The Welfare State" by Jacob S. Hacker.

Chapter 21, "The Regulatory State?" by John Braithwaite.

**April 2.** Test #2.

**April 9-16-23.** Students' Research Reports-Presentations.

### ***Course Requirements and Grading***

Class Participation = 15%. Regular attendance, assigned readings prior to class meetings when they will be discussed, and constructive and frequent contributions to class discussions.

Two Tests @ 20% = 40%. Each test is based on class lectures, class discussions and assigned readings. Each test also is take-home to be done during regular class meeting times, professor-posted-on-e-learning, open note, and open readings. University honesty policy applies, and no other sources can be used or other people consulted. Each test consists of 2-3 essay questions that involve description, explanation, and assessment. The tests are scheduled for February 26 and April 2 – All students are expected to take the tests on these dates – No make-up tests are given.

Two Presentations-Reports @ 15% = 30%. Each student prepares and presents approximately two professor-assigned readings' presentations-reports. Each presentation-report summarizes the research in the readings, assesses (that is, with the student's ideas) of how well the research has been conducted and of how better research could be conducted, and offers at least three points for discussion. Each presentation-report is presented orally in not more than 20 power-point slides during approximately 30 class-time minutes (also allowing for discussion). A hard copy of the power-point slides must be given by the student to the professor on the assigned day of the presentation-report.

One Research Presentation-Report @ 15%. Each student prepares and presents one research presentation-report. It discusses why the student-selected topic is important and interesting, what a principal research hypothesis is, how the research has been conducted in terms of theoretical and methodological approach(es) used and empirical results produced, and what innovative research could be conducted to advance knowledge of the topic. A not more than two-page, preliminary proposal for the research presentation-report is due on March 5. Each research presentation-report is presented orally in not more than 20 power-point slides during approximately 30 class-time minutes (also allowing for discussion) during the last three weeks of the semester. A hard copy of the power-point slides must be given by the student to the professor on the assigned day of the presentation.

A: 95-100%; A-: 90-94; B+: 83-89; B: 77-82; B-: 70-76; C+: 65-69; C: 60-64; F: Below 60%.

### *Notes*

In addition to the above, students are expected to:

- know that this syllabus, including the schedule, may change at the professor's discretion;
- read related policy material at <http://go.utdallas.edu/syllabus-policies>