UTD	**Course**	**RMIS/FIN 4333 CONSOLIDATE COURSE SYLLABUS** **Intro to Enterprise Risk Management**
	Course Coordinator	Debra Richardson, MBA, CPCU, CIC, CRM, AINS
	Professors	Rob Olson, MLA, CPCU, ARM, AAM, ARP, CRIS, MLIS Victoria Dearing, MBA, CPCU, RPLU, AAI, ARM-P Cory Mangum, MS, CPCU, AIC Machelle Allums, MBA, CPCU, CRM, CIC, ARM
	Term	Fall 2016
	Meetings	Tuesdays and Thursdays; 1:00 – 2:15 p.m. August 22 – December 7, 2016 JSOM 12.214

Course Coordinator's Contact Information

Phone	972-883-5038
Office Location	JSOM 14. 401
Email Address	Debra.Richardson@utdallas.edu
Office Hours	Mondays and Wednesday 11:30 a.m. – 3:00 p.m. and by appointment
Other Information	Please email me at above email. Your email *must* be sent from a UTD email – NOT hotmail, yahoo, gmail, etc.

General Course Information

Pre-requisites	FIN 3320 and FIN/RMIS 3370
Course Description	Study of the risks and exposures to loss affecting businesses and non-profit entities: including pure, financial, operational, and strategic risk. Data collection, analysis, and evaluation methods are presented. Risk management program objectives, goals, and management are presented along with the tools for identification, treatment, and financing of risk.
Learning Outcomes	At the end of the course, students will be able to: • Comprehend the role and importance of enterprise risk management (ERM) in business, including the implementation of ERM and mitigating its potential roadblocks • Illustrate the ERM framework and process, including the evaluation of business' internal and external environments • Engage in strategic planning within the ERM environment and apply this knowledge to real life scenarios • Integrate an organization's ERM process with its overall strategic vision and goals • Illustrate the critical global nature of ERM and its connectivity to the success of organizations and the global economy • Apply the role of the directors, officers and members of the board of directors in the ERM process • Demonstrate how Key Performance Indicators (KPIs) and Key Risk Indictors (KRIs) help formulate ERM program

	• Model risk behaviors; Allocate capital based on risk assessment; Assess organization's appetite for risk and ability to absorb via an assessment of probabilities and financial impact • Evaluate an organization's risk management environment and culture • Describe the concept of internal control and its relationship to risk management; Demonstrate importance of collaboration between ERM professionals and internal audit • Summarize how a risk-based auditing approach affects the role and focus of the internal audit function • Explain the purpose and the composition of a risk register
Required Text	***Enterprise Risk Management***. The Institutes: Malvern, PA 1st Edition; Edited by Michael W. Elliott ISBN: **978-0-89463-974**
Supplemental Resources & Articles	Provided by Instructors
Calculators/ Computers	Calculators, laptops, iPads, and/or cell phones will not be used *at any time* during class or exams, unless otherwise instructed by professor.
Class Schedule	Though the class dates are set, the material covered each session remains fluid and may be updated throughout the semester. Attendance is required for this course. Parking and/or other factors are not an excuse for being late. Class begins the same time each session so please plan your schedule accordingly. *Dates & syllabus subject to change – you will be notified in advance if so.*

Course Policies

Course Grade/ Exam Chapters	The course will be graded per the individual professors' syllabi and grading criteria. There will be NO final exam for this course.
Grading	All grades will be posted on eLearning. UTD's grading policies may be found online at https://catalog.utdallas.edu/2015/graduate/policies/grades. This course will follow the same scale: 97.1 – 100 A+ 93.33 - 97 A 93.32 – 90.0 A- 89.9 – 86.67 B+ 86.66 – 83.3 B 83.2 – 80.0 B- 79.9 – 76.67 C+

	76.66 – 73.3 C 73.2 – 70.0 C- 69.9 – 67.67 D+ 67.66 – 63.3 D 63.2 – 60.0 D- Below 60.0 F
Homework	Homework assignments will be assigned by the individual professor based on his or her lecture series. HW is due on the date and time stated in eLearning. No late assignments will be accepted, meaning once class has started the professor will not accept your assignment (unless you have contacted him or her prior to class time and made special arrangements). All homework must be **typed**, and double-spaced in 12 pt font (handwritten not accepted). The homework should contain the following information in the upper portion of the paper: name, course number and section, and homework title.
Professionalism	Class participation is one criterion used to determine professionalism points. Individuals may be called upon throughout the semester to discuss the reading assignments and to present solutions to assigned questions and problems. Be prepared when you come to class. Professionalism means being present, on time, engaged, no texting and ready to participate and learn. If you miss more than 1 class without contacting me with a valid reason, you will not receive the full 5 points. Professionalism also includes letting me know when you will be absent, just as is done in the business world. Any student who is absent will be responsible to obtain lecture notes and handouts from sources *other than me*.

UTD Policies

Policies and Procedures for Students

The University of Texas at Dallas provides a number of policies and procedures designed to provide students with a safe and supportive learning environment. Brief summaries of the policies and procedures are provided for you at
http://provost.utdallas.edu/home/syllabus-policies
and include information about technical support, field trip policies, off-campus activities, student conduct and discipline, academic integrity, copyright infringement, email use, withdrawal from class, student grievance procedures, incomplete grades, access to Disability Services, and religious holy days. You may also seek further information at these websites:

- http://www.utdallas.edu/BusinessAffairs/Travel_Risk_Activities.htm
- http://www.utdallas.edu/judicialaffairs/UTDJudicialAffairs-HOPV.html
- http://www.utsystem.edu/ogc/intellectualproperty/copypol2.htm
- http://www.utdallas.edu/disability/documentation/index.html

JSOM is a professional school whose mission, in part, is to prepare students for the business community. Therefore, students will present themselves with commonly accepted business manners and appearance. Doing so will add to one's professional points.

Comet Creed

This creed was voted on by the UT Dallas student body in 2014. It is a standard that Comets choose to live by and encourage others to do the same:

"As a Comet, I pledge honesty, integrity and service in all that I do."

DESCRIPTION OF LECTURES

Chapter(s)	Description
1, 2, 5	**ROB OLSON** Introduction to Enterprise Risk Management (ERM) ERM in an Organization Strategic Planning & ERM (August 23 – September 13)
4, 6, 8	**VICTORIA DEARING** Risk Oversight Risk-Based Performance Process Management Regulation & Compliance (September 15 – October 6)
3, 7, 9	**CORY MANGUM** ERM Framework & Processes Internal Audit & Control Risk Assessment & Treatment (October 11 – November 1)
10, 11, 12	**MACHELLE ALLUMS** Risk Modeling Risk-Based Capital Allocation Risk Management Environment & Culture (November 3 – December 1)

IMPORTANT DATES

Date	Description
Sept 1	Gamma Iota Sigma & Young Risk Professionals Event – UTD Exec Ed
Sept 5	No class – Happy Labor Day!
Sept 23	"USI Insurance | UTD | Gamma Iota Sigma Executive Experience Day" USI office - City Place, Dallas
Oct 14	"JP Morgan Chase | UTD | Gamma Iota Sigma Executive Experience Day" Corporate Office – Lewisville
Oct 17-18	RMI Employer Mixer (5:30 Monday) & Interview Days (8-4 Tuesday)
Oct 20	Dallas CPCU All Industry Day
Oct 27	DFW RIMS Fall Conference – Marriott Legacy, Plano
Nov 10	All Industry Day | Independent Ins Agents of Dallas; Irving Convention Ctr
Nov 21-25	Happy Thanksgiving & Fall Break!
	Watch eLearning for Gamma Iota Sigma news, networking events with business professionals AND scholarship opportunities during semester!