



**Course** CS/CE/TE 6378.001, Advanced Operating Systems  
**Professor** Ravi Prakash  
**Term** Fall 2014  
**Meetings** TR 8:30 am – 9:45 pm, ECS South 2.311

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### Professor's Contact Information

**Office Phone** (972) 883-2289  
**Other Phone** (972) 883-2185 (CS Department Phone Number)  
**Office Location** ECS South 4.210  
**Email Address** ravip@utdallas.edu  
**Office Hours** To be decided.

**Other Information** The best way to communicate with me is through UTD email. Please set up an appointment if you wish to meet me at times other than my office hours.

### General Course Information

**Pre-requisites, Co-requisites, & other restrictions** Pre-requisites: CS 5348 Operating Systems or equivalent, programming skills in C/C++ and/or in Java, socket programming skills, and working knowledge of a UNIX-based operating system.

**Course Description** Concurrent processing, inter-process communication, process synchronization, deadlocks, introduction to queuing theory and operational analysis, topics in distributed systems and algorithms, checkpointing, recovery, multiprocessor operating systems.

- Learning Outcomes**
1. Ability to understand the concepts of concurrent and distributed execution in modern operating systems and networks of systems.
  2. Ability to understand the notion of time and clocks in a system with no global time-keeper.
  3. Ability to understand the concept of causal ordering of events and deadlocks.
  4. Ability to understand the concept of distributed mutual exclusion and resource management, including processor, memory and file systems.
  5. Ability to design new algorithms/protocols for resource management.
  6. Ability to understand the concept of process failure and approaches to build fault-tolerance in a distributed execution environment including checkpointing, voting protocols and replication.
  7. Ability to design and conduct simulation experiments to quantitatively evaluate various distributed algorithms, and analyze and interpret the data.
  8. Ability to communicate and work as a group on a team software project.

**Required Texts & Materials** We will use a collection of research papers, some new and some old. The list will be distributed in class and will be available on eLearning.

**Suggested Texts, Readings, & Materials** None.

## Assignments & Academic Calendar

[Topics, Reading Assignments, Due Dates, Exam Dates]

Number of Lectures	Topic
1	Introduction
6	Theoretical Foundations (Causality, logical time, scalar and vector clocks, causally ordered message delivery, snapshot collection, termination detection).
4	Distributed Mutual Exclusion (Lamport's algorithm, Ricart-Agrawala algorithm, Roucairol-Carvalho optimization, Maekawa's algorithm, Raymond's tree-based algorithm).
3	Physical clock synchronization
2	Agreement Protocols (Fischer paper).
1	Distributed Shared Memory
1	Distributed Scheduling
6	Recovery and Fault Tolerance (Model for recovery, Koo-Toueg algorithm, 2- and 3-phase commit, replica consistency, static and dynamic voting algorithms).
4	File Systems (Google file system, Dynamo, MapReduce, BigTable, Chubby).

Last day of class: Tuesday, December 9.

### Important Dates & Times

Examination I: Tuesday, October 14 (during class).

Examination II: Scheduled date and time for the final exam for the course.

### Course Policies

<b>Grading (credit) Criteria</b>	Exam I: 25%, Exam II: 30%, Programming Projects: 30%, Quizzes: 15%/ In order to obtain an "A" or "A-" grade a student must perform well in the examinations, as well as in the programming projects. This is the minimum requirement, and satisfying this requirements does not guarantee an A or A-grade.
<b>Make-up Exams</b>	<p>Make-up examinations will be offered only if the student has a valid medical reason and produces a doctor's letter. If a student misses an examination or quiz without prior notice, the student will forfeit the right to take a make-up examination or quiz at a later date. Exceptions will be made in rare situations, and entirely at the discretion of the instructor. Any request for such make-up examination should be accompanied by supporting document, e.g. a doctor's note.</p> <p>If a student has to be absent for several classes because of job related obligations, he/she will not be eligible for an incomplete grade. In such instances the student is advised to drop the course.</p>
<b>Extra Credit</b>	No extra credit work will be assigned.
<b>Late Work</b>	Programming projects submitted after the due date will be penalized at the rate of 10% of the total credit for that project/homework for every day (not including weekends and holidays) by which they are late. Late submissions will not be accepted once the solution has been discussed in class and the graded submissions have been returned.
<b>Class Attendance</b>	Voluntary. However, regular attendance is recommended.
<b>Classroom Citizenship</b>	The instructor encourages students to take active part in class discussions. No question is too simple/stupid to be asked. So, do not hesitate.
<b>Field Trip Policies</b>	Not applicable.

<p><b>Student Conduct and Discipline</b></p>	<p>The University of Texas System and The University of Texas at Dallas have rules and regulations for the orderly and efficient conduct of their business. It is the responsibility of each student and each student organization to be knowledgeable about the rules and regulations which govern student conduct and activities. General information on student conduct and discipline is contained in the UTD publication, <i>A to Z Guide</i>, which is provided to all registered students each academic year.</p> <p>The University of Texas at Dallas administers student discipline within the procedures of recognized and established due process. Procedures are defined and described in the <i>Rules and Regulations, Board of Regents, The University of Texas System, Part 1, Chapter VI, Section 3</i>, and in Title V, Rules on Student Services and Activities of the university's <i>Handbook of Operating Procedures</i>. Copies of these rules and regulations are available to students in the Office of the Dean of Students, where staff members are available to assist students in interpreting the rules and regulations (SU 1.602, 972/883-6391).</p> <p>A student at the university neither loses the rights nor escapes the responsibilities of citizenship. He or she is expected to obey federal, state, and local laws as well as the Regents' Rules, university regulations, and administrative rules. Students are subject to discipline for violating the standards of conduct whether such conduct takes place on or off campus, or whether civil or criminal penalties are also imposed for such conduct.</p>
<p><b>Academic Integrity</b></p>	<p>The faculty expects from its students a high level of responsibility and academic honesty. Because the value of an academic degree depends upon the absolute integrity of the work done by the student for that degree, it is imperative that a student demonstrate a high standard of individual honor in his or her scholastic work.</p> <p>Scholastic dishonesty includes, but is not limited to, statements, acts or omissions related to applications for enrollment or the award of a degree, and/or the submission as one's own work or material that is not one's own. As a general rule, scholastic dishonesty involves one of the following acts: cheating, plagiarism, collusion and/or falsifying academic records. Students suspected of academic dishonesty are subject to disciplinary proceedings.</p> <p>Plagiarism, especially from the web, from portions of papers for other classes, and from any other source is unacceptable and will be dealt with under the university's policy on plagiarism (see general catalog for details). This course will use the resources including turnitin.com, which searches the web for possible plagiarism and is over 90% effective.</p>
<p><b>Email Use</b></p>	<p>The University of Texas at Dallas recognizes the value and efficiency of communication between faculty/staff and students through electronic mail. At the same time, email raises some issues concerning security and the identity of each individual in an email exchange. The university encourages all official student email correspondence be sent only to a student's U.T. Dallas email address and that faculty and staff consider email from students official only if it originates from a UTD student account. This allows the university to maintain a high degree of confidence in the identity of all individual corresponding and the security of the transmitted information. UTD furnishes each student with a free email account that is to be used in all communication with university personnel. The Department of Information Resources at U.T. Dallas provides a method for students to have their U.T. Dallas mail forwarded to other accounts.</p>
<p><b>Withdrawal from Class</b></p>	<p>The administration of this institution has set deadlines for withdrawal of any college-level courses. These dates and times are published in that semester's course catalog. Administration procedures must be followed. It is the student's responsibility to handle withdrawal requirements from any class. In other words, I cannot drop or withdraw any student. You must do the proper paperwork to ensure that you will not receive a final grade of "F" in a course if you choose not to attend the class once you</p>

	are enrolled.
<b>Student Grievance Procedures</b>	<p>Procedures for student grievances are found in Title V, Rules on Student Services and Activities, of the university's <i>Handbook of Operating Procedures</i>.</p> <p>In attempting to resolve any student grievance regarding grades, evaluations, or other fulfillments of academic responsibility, it is the obligation of the student first to make a serious effort to resolve the matter with the instructor, supervisor, administrator, or committee with whom the grievance originates (hereafter called "the respondent"). Individual faculty members retain primary responsibility for assigning grades and evaluations. If the matter cannot be resolved at that level, the grievance must be submitted in writing to the respondent with a copy of the respondent's School Dean. If the matter is not resolved by the written response provided by the respondent, the student may submit a written appeal to the School Dean. If the grievance is not resolved by the School Dean's decision, the student may make a written appeal to the Dean of Graduate or Undergraduate Education, and the dean will appoint and convene an Academic Appeals Panel. The decision of the Academic Appeals Panel is final. The results of the academic appeals process will be distributed to all involved parties.</p> <p>Copies of these rules and regulations are available to students in the Office of the Dean of Students, where staff members are available to assist students in interpreting the rules and regulations.</p>
<b>Incomplete Grades</b>	<p>As per university policy, incomplete grades will be granted only for work unavoidably missed at the semester's end and only if 70% of the course work has been completed. An incomplete grade must be resolved within eight (8) weeks from the first day of the subsequent long semester. If the required work to complete the course and to remove the incomplete grade is not submitted by the specified deadline, the incomplete grade is changed automatically to a grade of <b>F</b>.</p>
<b>Disability Services</b>	<p>The goal of Disability Services is to provide students with disabilities educational opportunities equal to those of their non-disabled peers. Disability Services is located in room 1.610 in the Student Union. Office hours are Monday and Thursday, 8:30 a.m. to 6:30 p.m.; Tuesday and Wednesday, 8:30 a.m. to 7:30 p.m.; and Friday, 8:30 a.m. to 5:30 p.m.</p> <p>The contact information for the Office of Disability Services is:  The University of Texas at Dallas, SU 22  PO Box 830688  Richardson, Texas 75080  (972) 883-2098 (voice or TTY)</p> <p>Essentially, the law requires that colleges and universities make those reasonable adjustments necessary to eliminate discrimination on the basis of disability. For example, it may be necessary to remove classroom prohibitions against tape recorders or animals (in the case of dog guides) for students who are blind. Occasionally an assignment requirement may be substituted (for example, a research paper versus an oral presentation for a student who is hearing impaired). Classes enrolled students with mobility impairments may have to be rescheduled in accessible facilities. The college or university may need to provide special services such as registration, note-taking, or mobility assistance.</p> <p>It is the student's responsibility to notify his or her professors of the need for such an accommodation. Disability Services provides students with letters to present to faculty members to verify that the student has a disability and needs accommodations. Individuals requiring special accommodation should contact the professor after class or during office hours.</p>
<b>Religious Holy Days</b>	<p>The University of Texas at Dallas will excuse a student from class or other required activities for the travel to and observance of a religious holy day for a religion whose places of worship are exempt from property tax under Section 11.20, Tax Code,</p>

	<p>Texas Code Annotated.</p> <p>The student is encouraged to notify the instructor or activity sponsor as soon as possible regarding the absence, preferably in advance of the assignment. The student, so excused, will be allowed to take the exam or complete the assignment within a reasonable time after the absence: a period equal to the length of the absence, up to a maximum of one week. A student who notifies the instructor and completes any missed exam or assignment may not be penalized for the absence. A student who fails to complete the exam or assignment within the prescribed period may receive a failing grade for that exam or assignment.</p> <p>If a student or an instructor disagrees about the nature of the absence [i.e., for the purpose of observing a religious holy day] or if there is similar disagreement about whether the student has been given a reasonable time to complete any missed assignments or examinations, either the student or the instructor may request a ruling from the chief executive officer of the institution, or his or her designee. The chief executive officer or designee must take into account the legislative intent of TEC 51.911(b), and the student and instructor will abide by the decision of the chief executive officer or designee.</p>
<p><b>Off-Campus Instruction and Course Activities</b></p>	<p>Off-campus, out-of-state, and foreign instruction and activities are subject to state law and University policies and procedures regarding travel and risk-related activities. Information regarding these rules and regulations may be found at <a href="http://www.utdallas.edu/BusinessAffairs/Travel_Risk_Activities.htm">http://www.utdallas.edu/BusinessAffairs/Travel_Risk_Activities.htm</a>. Additional information is available from the office of the school dean.</p>

*These descriptions and timelines are subject to change at the discretion of the Professor.*