

Online/Blended Course Syllabus

Course Information

Course Number/Section **OPRE 6382.OW1**
Course Title **Supply Chain Trade Compliance**
Term **Fall 2025**

Professor Contact Information

Professors Joseph A. Vicario, Jr. and Diane Divin
Other Phone 214-435-0502 (JAV) ; 817-229-0430 (DD)
Email Address joseph.vicario@utdallas.edu; diane.divin@utdallas.edu
Office Location *NA*
Online Office Hours Vicario (Modules I and II)/ Divin – (Modules III and IV) – email instructor to schedule. No set weekly office hours
Other Information The best way to communicate with instructors is via the online course tools. (Contact JAV via phone only in case of an emergency)

About the Instructors

Joseph A. Vicario, Jr.

As the former Senior Legal Counsel for International Trade Regulation at Texas Instruments, Mr. Vicario advised the company on the development of corporate strategies for international competition, with an emphasis on export and import issues, FCPA compliance, and other trade compliance issues. Mr. Vicario also served on TI's Corporate Compliance Committee.

Mr. Vicario graduated from the University of Virginia in 1975 with a B.A., *with distinction*, in Foreign Affairs. Mr. Vicario received his J.D., *cum laude*, from the Georgetown University Law Center in 1978, and while at Georgetown he was an editor of Law and Policy in International Business, Georgetown's international law journal. Mr. Vicario is also a graduate of SMU's Dispute Resolution Graduate Certificate Program and is a member of the FINRA's Dispute Resolution Roster of Public Arbitrators.

Diane Divin

Owner and President of Divin Consulting, a consulting company for global import/export compliance. Prior to opening her own consulting firm, Diane was the Manager of Global Import/Export for the Mary Kay Corporation for 22 years. She structured Mary Kay Inc.'s global import/export compliance programs; designed the import/export training, website, audit procedures and global programs; initiated and managed implementation of international trade software systems; and managed the global import/export team. The Mary Kay import/export compliance team oversees all imports/exports globally, from raw materials/ingredients to finished goods and from cosmetics to equipment and technology, between 40+ countries. Her prior employer, Sanrio Inc., was another global company.

Served: (1) the North Texas District Export Council (NTXDEC) – Executive Chair, 1st Vice chair, Chair Emeritus, Secretary, committee chairs, board member of the Dept. of Commerce's North Texas District Export Council since 1998; (2) One of the coordinators of the 2015 Discover Global Markets-E-Commerce (large conference with speakers/diplomats/attendees from over 22 countries), sponsored by the US Dept. of Commerce and the NTXDEC; (3) Richland College - Chair of the Int'l Business Advisory Board- 4 years; (4) Org. of Women in Int'l Trade, Dallas/Ft. Worth (OWIT-DFW) for 12 years, President, Vice President, board member, one of the coordinators of the International OWIT conference held in Dallas, TX.; (5) International Compliance Professionals Assoc. (ICPA), committee work and member; (6) Personal Care Products Council (PCPC) – served on 2 foreign regional committees for 10 years.

Awarded: 8 Outstanding Achievement Awards by Mary Kay, 2 Professional Achievement awards by Sanrio Inc., 2 achievement awards by the North TX District Export Council; featured in Journal of Commerce as one of the top 48 women in the world in international trade. Selected to present data to the Trans Pacific Partnership FTA team from 9 countries in their US negotiations.

Course Pre-requisites, Co-requisites, and/or Other Restrictions

No required pre-requisites, co-requisites, and/or other restrictions.

Course Description

The realities of the modern world make all business international. “Trade” (the export/import of goods or services across national borders) is a critical aspect of doing business internationally. This course is based upon the premise that understanding and managing the inherent risks involved in international trade are key prerequisites for making strategic international business decisions. For example, the course focuses on the application of national customs and export control laws from the strategic business perspective as well as the impact of these laws and regulations on the movement of goods through global supply chains. The first half of the course entails the exploration of key issues associated with the application of international trade laws and regulations in the context of global supply chains through the close examination of the international and national institutions, rules and mechanisms that regulate international trade. The first half of the course is intended to provide students with a basic understanding of the important aspects of various international and national trade regulations the application of which have the potential to impact global supply chains. The second half of the course will give students the knowledge and tools needed to manage the global import/export compliance issues inherent in the conduct of international business, from the international trade fundamentals everyone must understand, to an overview of the myriad of regulations, requirements, fines and penalties, duty savings opportunities, audits, tools, software systems, global programs, other resources, etc., present in this complicated but fascinating world of international trade.

Student Learning Objectives/Outcomes

Students who successfully complete this course will

- (1) magnify their knowledge of the workings of the multilateral trading system and its applicable rules;
- (2) enhance their understanding of the actual application of international trade regulations by various national governments;
- (3) obtain valuable insights into the practical ramifications of the intersection of various governments’ international trade regulations and global supply chain operations;
- (4) be better empowered to develop, analyze and implement practical strategies for supply chain, procurement and logistics management in the context of a company’s global business operations;
- (5) increase their knowledge of the rules and regulations of the importing/exporting processes
- (6) identify the tools that are available to make these processes successful and more expedient
- (7) interpret the financial opportunities and consequences of import/export compliance;
- (8) utilize companies that offer services to this industry;
- (9) identify how to prepare for government audits in order to ensure success;
- (10) qualify the difference between a one country focused program and a global program; and
- (11) become prepared to research and locate educational opportunities to keep up with the laws and regulations around the world.

The article “[*The Case for Global Trade Compliance*](#)” highlights and reinforces why this specific course, the focus of which is on how compliance with all applicable international trade regulations impacts global supply chains, was added to the UTD’s Supply Chain Management curriculum. We encourage students to read this article which provides a good frame of reference for the entire course.

Required Textbooks and Materials

Required Texts

MODULES I and II

- ***International Business Law and Its Environment***, R. Schaffer, F. Augusti & L.J. Dhooge (11th Ed. 2025 Cengage) – ISBN-13: 978-0-357-71792-9. You may purchase the eBook directly on Cengage.com. Chapters 1 and 2 of the eBook provide a broad framework for understanding both international business and the legal environment in which it operates. The authors illustrate how understanding international trade laws and regulations is an important tool for managing international business risks. Importantly, the authors examine the institutions and legal rules governing international business, including international and U.S. trade laws and regulations. Special attention is paid to the World Trade Organization (WTO), WTO Dispute Settlement, and national governments’ trade remedy responses to import competition and unfair trade practices. The authors also focus on export controls and trade sanctions, as well as devoting an entire chapter on the important topics of imports, customs, and tariff law. The U.S. Foreign Corrupt Practices Act and other antibribery laws are also examined. Finally, there are specific chapters devoted to both NAFTA and the European Union’s “common market”.

MODULES III and IV

- ***Incoterms® 2020 Edition***, By the International Chamber of Commerce (ICC) No. 723E ISBN : 978-92-842-0510-3 (*editions come in a LOT of different languages, so find whatever language is easiest for you to read*) – [Amazon | Book Purchase Page](#), [ICC | Book/eBook Purchase Page](#)

Other Required Materials - The following reading materials are assigned by module:

MODULES I and II

- In addition to the required Cengage eBook, students will need to access online the other required reading materials which are part of the reading assignments for the Module I and Module II units. A number of these important reading materials may be accessed using the links under the **Course Materials** heading of the course menu on the left side of the course home page. **NOTE:** The other required reading materials and the two USG videos can be accessed using the links provided for each Module I and II units under the **READINGS** section of the **Assignments & Academic Calendar** section of the course syllabus. This an extremely important portion of the course syllabus that contains key information students will need to access throughout the semester!
- Students should also note that there are a number of required readings for the Modules I and II units. Almost all of these required readings consist of short articles written by highly regarded subject matter experts. I wish to emphasize ***that it is important for students to complete these readings in a timely and diligent manner***, especially if you wish to earn a high grade in the course. In particular, in order to score well on the three Writing Assignments for Modules I and II, students are expected to display their command and understanding of the subject matter covered in these readings.
- **Chester’s Handbook of International Business & Trade Law** (3rd Edition). PDF version provided by the instructor. Access using the **Chester Handbook** link provided under the **Course Materials** heading of the eLearning course menu.
- Since most of you will be new to the subject matter, you should read the following WTO publications: **The WTO in Brief** and portions of **Understanding the WTO**. The first document and certain portions of the

second more lengthy WTO publication are included as part of your required reading assignments for certain units in Module I and II. The World Trade Organization publishes both WTO documents and are available online here: [World Trade Organization website](#), [World Trade Organization | Understanding the WTO PDF](#)

MODULES III and IV

- Since there are no 1 or 2 books that cover all of the topics and are up to date with the current details I will be talking to you on, most of your reading material will either be online or documents that I have uploaded. The only exception is the small book on Incoterms already listed above under textbooks.
- Watch a video with quizzes by the US International Trade Commission, entitled E-Learning Module: [A Guide to the Harmonized Tariff Schedule of the United States](#). There appear to be 4 of them, but they are continuous once you start.
- Document entitled “Cross Ruling – MK Cleansers”
- Document entitled “USMCA – Replacing NAFTA – 2020 Key Changes
- [Technical Information on the Rules of Origin](#) from the WTO
- Document entitled “Cross Ruling – Marking Requirements for Containers”
- US Customs website, detailed the 10+2 Program: [Importer Security Filing '10+2' webpage](#)
- Document entitled “Incoterms 2020 vs Incoterms 2010 – Key Changes”
- Document entitled “Hand Carry Policy”
- Document entitled “FedEx’s Finding Your ECCN”
- Consider googling “US made parts found on Iranian made attack drone used by Russia”. You will find it interesting how difficult it seems to be to prevent US made technology to end up where the US does not want it to in some cases.
- Documents entitled “Flowserve 1 – Story Behind VSD” and “Flowserve 8 – Lessons Learned”
- Document entitled “Customs PAS Questionnaire Survey”
- Document entitled “Landed Cost Worksheet”
- Visit Websites with reading details noted on the Module:
 - [American Association of Exporters & Importers](#)
 - [American Conference Institute](#)

Suggested Course Materials - *Suggested Readings/Texts*

MODULES I and II

- WTO publications broadly fall into seven subject categories. WTO publications listed by category, including numerous publications regarding WTO Dispute Settlement, are available on the WTO website: [World Trade Organization | WTO Publications by Subject webpage](#)
- Instructor usually publishes “Reality Checks” highlighting recent articles on certain topics covered in Module I and II. These articles are not required readings for the course, but students are encouraged to read these articles to enhance their understanding of certain important topics and issues of current interest.

MODULES III and IV

The following person(s) have put out excellent mini updates and information on global trade and upcoming educational seminars; if you're interested, you can sign up for them. Being on their mailing lists is free. Email and ask to be placed on the email lists: David Noah at [Shipping Solutions | Passages - The International Trade Blog](#) and Bob at Bob@Braumillerlaw.com

The following websites are where you can find additional information on global trade, and you can sign up for their updates via email as well: [World Trade Research Institute](#), [Lexology](#), and [International Trade Today](#).

The following are websites for possible research ONLY if interested; these are NOT required reading.

- **US Customs Import, ACE, Classification and Tariff Information** – [U.S. Customs and Border Protection](#), [United States International Trade Commission](#), [U.S. Customs and Border Protection | Customs Rulings Online Search System \(CROSS\)](#)
- **US FTC Information** – [Federal Trade Commission | Made in USA webpage](#)
- **US Department of Commerce (DOC), Bureau of Industry & Security (BIS), and US Commercial Service (USEAC)** - [U.S. Department of Commerce](#), [Bureau of Industry & Security](#), [International Trade Administration](#), <https://www.export.gov/>
- **US State Department, Defense Trade Controls (DDTC) AND ITAR, and US Treasury Foreign Assets Control** - [U.S. Department of State](#), [Directorate of Defense Trade Controls](#), [The Office of Foreign Assets Control](#)
- **Global Resources** – [World Trade Organization](#), [World Customs Organization](#), SICE's website isn't secure anymore, but you can find information on trade by googling SICE international trade, [Asia-Pacific Economic Cooperation](#), [European Union](#)

Technical Requirements

In addition to a confident level of computer and Internet literacy, certain minimum technical requirements must be met to enable a successful learning experience. Please review the important technical requirements on the [Getting Started with eLearning](#) webpage.

Course Access and Navigation

This course can be accessed using your UT Dallas NetID account on the [eLearning](#) website.

Please see the course access and navigation section of the [Getting Started with eLearning](#) webpage for more information. To become familiar with the eLearning tool, please see the [Student eLearning Tutorials](#) webpage.

UT Dallas provides eLearning technical support 24 hours a day, 7 days a week. The [eLearning Support Center](#) includes a toll-free telephone number for immediate assistance (1-866-588-3192), email request service, and an online chat service.

Communication

This course utilizes online tools for interaction and communication. Some external communication tools such as regular email and a web conferencing tool may also be used during the semester. For more details, please visit the [Student eLearning Tutorials](#) webpage for video demonstrations on eLearning tools. Student emails and discussion board messages will be answered within 3 working days under normal circumstances.

Distance Learning Student Resources

Online students have access to resources including the McDermott Library, Academic Advising, The AccessAbility Resource Center, and many others. Please see the [eLearning Current Students](#) webpage for more information.

Server Unavailability or Other Technical Difficulties

The University is committed to providing a reliable learning management system to all users. However, in the event of any unexpected server outage or any unusual technical difficulty which prevents students from completing a time sensitive assessment activity, the instructor will provide an appropriate accommodation based on the situation.

Students should immediately report any problems to the instructor and also contact the online [eLearning Help Desk](#). The instructor and the eLearning Help Desk will work with the student to resolve any issues at the earliest possible time.

WEEK	TOPIC/ LECTURE	READINGS	ASSESSMENT / ACTIVITY	DUE DATE
<p>WEEK 2 9/1/25 – 9/7/25</p>	<p>Module I</p> <p>Unit 4: GATT Uruguay Round and the World Trade Organization</p> <p>Unit 5: The Main Principles of the WTO Trading System</p> <p>Unit 6: Tariffs and Non-Tariff Trade Barriers</p> <p>Unit 7: WTO Rules for Standards and Safety</p>	<p>Readings for Units 4 & 5:</p> <ul style="list-style-type: none"> • <i>International Business Law and Its Environment</i>, Ch. 9, pp. 226-240. Understanding the WTO, Ch. 1 - Basics, section 5, pp. 18-21; Ch. 2 - The Agreements, sections 1,2, 4 and 9, pp. 23-26, 30-31, 49-51. • From GATT to the WTO: A Brief Overview • The Heritage Lectures, Joe Cobb: The Real Threat to US Sovereignty <p>Readings for units 6 & 7:</p> <ul style="list-style-type: none"> • <i>International Business Law and Its Environment</i>, Ch. 10. pp. 251-257 and 264-265. • NTE Report: United States 2025 National Trade Estimate Report on Foreign Trade Barriers. The report provides a comprehensive review of significant foreign barriers to US exports in key export markets. While you are not required to read the NTE report for 2025, you may find it of interest, especially in light of recent developments involving Trump’s trade policies. According to the USTR, the findings of the 2025 NTE Report underscore President Trump’s America First Trade Policy and the President’s 2025 Trade Policy Agenda. • Localized Barriers to Trade: what they are and how they harm our economy <p><u>The Information Technology Agreement (ITA) -</u> The original ITA (which was expanded in 2015) is considered a landmark deal since it was the first to facilitate the growth of trade in the information technology sector by eliminating tariffs on many products, including semiconductors.</p>	<p>Writing Assignment #1: <i>The Political Realities of “Free Trade”</i>.</p>	<p>9/5/25</p>

WEEK	TOPIC/ LECTURE	READINGS	ASSESSMENT / ACTIVITY	DUE DATE
		<ul style="list-style-type: none"> • Expansion of ITA Big Deal for Semiconductors • Semiconductor Industry Association (SIA), Trade Deal Slashes Tech Tariffs <p><i>The semiconductor industry has now become the focus of a technological cold war between the US and China!</i></p> <p>More readings:</p> <ul style="list-style-type: none"> • Why the semiconductor is at the heart of US-China tensions • The New Containment: How the Semiconductor Industry Came to Be at the Heart of the Technological Cold War. • The Semiconductor Moment (12/5/23) 	<p>Required Discussion Board #1: The "High Tech" Industry, the ITA and free trade</p>	<p>9/7/25</p>
<p>WEEK 3 9/8/25 – 9/14/25</p>	<p>Module I</p> <p>Unit 8: WTO Safeguard, Antidumping and Subsidy Measures</p> <p>Unit 9: WTO General Agreement on Trade in Services</p> <p>Unit 10: WTO and Intellectual Property Rights</p>	<p>Readings for Units 8-10:</p> <ul style="list-style-type: none"> • <i>International Business Law and Its Environment</i>, Ch. 10, p. 262; Ch . 11, pp. 275-293; Understanding the WTO, Ch. 2, sections 6, 7, and 8, pp. 33-48. • See the following from the WTO regarding the logistics service sector • USG background clarifies how the WTO GATS applies to logistics and other service providers • TRIPS — Trade-Related Aspects of Intellectual Property Rights: The WTO TRIPS Agreement plays a central role in resolving trade disputes over IP and is a recognition of the significance of the links between IP and trade. • <i>International Business Law and Its Environment</i>, Ch. 10 pp. 267-268. The links between IP protection and trade are discussed 	<p>Required Discussion Board #2- GATS & Supply Chains</p>	<p>9/14/25</p>

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		<p>extensively throughout the text, focusing on how the protection and licensing of IP fit into the overall market entry strategy of a company.</p>		
<p>WEEK 4 9/15/25 – 9/21/25</p>	<p>Module I Unit 11: WTO Dispute Resolution and the Doha Round</p>	<p>Readings for Unit 11:</p> <ul style="list-style-type: none"> • <i>International Business Law and Its Environment</i>, Ch. 9, pp 240-243; Ch.10, pp. 268-271. • Understanding the WTO, Ch.3 - Settling Disputes, sections 1-3, pp. 55-61. • The Broken Legs of Global Trade: The Doha round of trade talks is considered by many as a “failure”. Read why this latest attempt for a multilateral trade agreement failed and the impact of such a failure • The Business Case for the WTO — and for its Dispute Settlement System: Resolving trade disputes is one of the WTO’s core activities. The WTO’s dispute settlement system was commonly referred to as “<i>the jewel in the crown of the WTO.</i>” Members have brought in total more than 600 disputes to the WTO for resolution and over 350 rulings have been issued. <p><i>The WTO’s dispute settlement system is currently, however, in an existential crisis and the future of the WTO’s dispute settlement remains unknown!</i></p> <p>According to the US Chamber of Commerce, “<i>The continued success of the [WTO] is critical to the U.S. business community.</i>” The Chamber explains why the WTO’s rules-based trading system and its dispute settlement system have benefited the US and other countries around the world.</p>	<p>Writing Assignment # 2- WTO Dispute Settlement and the Tension Between the Rules-Based Trading System and US Trade Policy</p> <p>Prepare and submit a 5-6 page paper on the multiple WTO Dispute Settlement cases involving the US section 232 steel/aluminum tariffs, with a particular focus on the US reaction to the WTO decision and how subsequent US trade policies are symptomatic of the US abandoning its historical leadership role in building the multilateral trading system.</p>	<p>9/24/25 <i>(Note: the due date for WA#2 falls in Week 5.)</i></p>

WEEK	TOPIC/ LECTURE	READINGS	ASSESSMENT / ACTIVITY	DUE DATE
		<ul style="list-style-type: none"> • A Reset of the WTO's Appellate Body: The author discusses certain options for reform. • The End of the World Order and the Rise of Trade Regulation: The authors believe that two trends - the increase in trade regulations and the decline of the international trading system - signal the decline of a world trading system. • CSIS: Globalization Persists (6/9/25): This commentator points out that the trend of globalization “persists”, just with the US sitting on the sidelines! While both the Biden and Trump administrations have rejected the past, some believe that globalized trade is still “alive and well”—and will continue to grow with or without the United States. • CSIS: Trade Liberalizing Efforts in Other Countries Contradict US Policies (7/6/25): According to some, Trump-era tariffs and Biden’s industrial policy have disrupted expectations of U.S. leadership in global trade. In response, many countries are hedging by deepening trade ties—particularly through regional and bilateral agreements that bypass the US. These efforts aim to secure stable market access, diversify supply chains, and insulate economies from the ripple effects of American protectionism. <p><u>IMPORTANT:</u> Additional Readings & reference sources for WA #2:</p> <ul style="list-style-type: none"> • Playing on the wrong side - US Section 232 measures inconsistent with WTO law • US steel tariffs violate WTO rules • YouTube video: WTO DSB ruling in cases involving US section 232 tariffs 		

WEEK	TOPIC/ LECTURE	READINGS	ASSESSMENT / ACTIVITY	DUE DATE
	<p>Unit 12: Regional and Other Free Trade Agreements</p> <p>Unit 13: “Mega Trade Deals” and the WTO’s Future</p>	<ul style="list-style-type: none"> • WTO Challenges - Wilson Center • CSIS - View from under the bus • Will the WTO Survive a Change of Administration? (7/19/24). <p>Required readings for Units 12 & 13:</p> <ul style="list-style-type: none"> • <i>International Business Law and Its Environment</i>, Ch. 9, pp. 243-245; Ch.14, pp. 356-370; and Ch.15, pp. 385-393. • Regional trade agreements: (RTAs) have risen in number. Non-discrimination among trading partners is a core WTO principles. RTAs constitute an exemption and are authorized under the WTO agreements. • USITC Releases Report Estimating the Historical Impact of Trade Agreements: The ITC estimated the economic impact of all trade agreements passed since 1984, including NAFTA. Read report’s “highlights” • NAFTA at 20: Overview and Trade Effects, CRS (2014). Read Summary + pp. 1-22 • Gone but Not Forgotten: The Continuing Importance of NAFTA Compliance • What is the Trans-Pacific Partnership? • Shipping Solutions – TPP: What It Is and How It Benefits the U.S. • IPEF Partners Conclude Negotiations of Proposed Supply Chain Agreement (May 2023): • U.S. Department of Commerce Publishes Text of Landmark Indo-Pacific Economic Framework for Prosperity (IPEF) Supply Chain Agreement 		

WEEK	TOPIC/ LECTURE	READINGS	ASSESSMENT / ACTIVITY	DUE DATE
WEEK 5 9/22/25- 9/28/25	Module II Unit 1: US Trade Remedies and Unfair Import Competition Unit 2: U.S. AD and CVD Investigations Unit 3: U.S. Safeguard Measures under Section 201	Required readings for Units 1-3: <ul style="list-style-type: none"> • <i>International Business Law and Its Environment</i>, Ch. 8 and Ch.11. • Supply Chain Basics: Thirteen Things Every Importer Should Know About Risks Involved in Sourcing Products Subject to AD/CVD Orders • I Just Found Out My Imported Goods are Subject to Antidumping - What Should I do? • Ten Things to Know About AD/CVD Cases • USITC: Understanding Safeguard Investigations • <i>International Business Law and Its Environment</i>, Ch. 10 - Trade Enforcement and Retaliation, pp. 268-271. • USITC Investigation into Economic Impact of Section 301 and Section 232 Tariffs • Insights into the Trump Administration's IEEPA Tariffs Setback 	Required Discussion Board #3: Do you believe all of the 13 referenced supply chain risks highlighted in the article are valid?	9/28/25
WEEK 6 9/29/25- 10/5/25	Module II Unit 4: U.S. IP rights and remedies under Section 337	Readings for Unit 4: <ul style="list-style-type: none"> • <i>International Business Law and Its Environment</i>, Ch. 10, pp. 267-268; Chester Handbook, pp. 203-206; 11-22, 39-49, and 52-59. <p>The USITC has emerged as one of the most salient patent enforcement venues in the US. Its fast-track procedures and potent exclusion order remedy have brought the ITC to center stage in patent enforcement.</p> <ul style="list-style-type: none"> • What Every Multinational Should Know about Section 337 cases • ITC updates re: Section 337 investigations 	Required Discussion Board #4 - Section 337 cases/ IP rights.	10/5/25

WEEK	TOPIC/ LECTURE	READINGS	ASSESSMENT / ACTIVITY	DUE DATE
	Units 5 & 6: U.S. Import Basics and Customs Disputes	Readings for Units 5&6: <ul style="list-style-type: none"> • <i>International Business Law and Its Environment</i>, Ch. 12. • Snapshot: customs duties in USA 		
WEEK 7 10/6/25- 10/12/25	Module II Unit 7: Export Controls Basics – ITAR Unit 8: Export Controls Basics - EAR Unit 9: U.S. Trade Sanctions and Embargoes	Readings for Units 7- 9: <ul style="list-style-type: none"> • <i>International Business Law and Its Environment</i>. Ch, 13; Chester Handbook, pp. 96-99, 64-74, and 78-82. • At a glance: trade sanctions in USA • ITAR 101 - Fundamentals and Practice <p>MATERIALS re: U.S. EAR – Important Required Readings and YouTube Video reference sources for WA #3.</p> <ul style="list-style-type: none"> • Export Controls in the United States • BIS Publishes Report on Export Violations: BIS’ recent export enforcement’s efforts resulted in the highest number ever of convictions, as well as the largest administrative penalty, in BIS history. Read examples of these cases in the BIS publication, “Don’t Let This Happen to You!” • Primer on Deemed Export Compliance • YouTube: Reexports and Offshore Transactions – USG video provides an introduction to reexports, the de minimis rule, and offshore transactions that are “subject to the EAR” • BIS Imposes \$300 Million Civil Penalty Related to Exports to Huawei and Violation of FDP Rule: In 2023, Commerce’s BIS imposed a \$300 million civil penalty against Seagate to resolve alleged violations of U.S. export controls related to selling hard disk drives to 	Writing Assignment #3 The Impact of US Export Controls on Global Supply Chain Operations Explain and analyze in 8-9 paragraphs what is meant by the phrase “subject to the EAR” and how the reach of U.S. export controls impacts both exports from the US and reexports of items from one foreign country to another. Provide your thoughts on the EAR’s “deemed export” and de minimis rules, as well as the so-called “extraterritoriality” reach of US export controls/ sanctions.	10/12/25

WEEK	TOPIC/ LECTURE	READINGS	ASSESSMENT / ACTIVITY	DUE DATE
		<p>Huawei in violation of the EAR’s foreign direct product rule.</p> <ul style="list-style-type: none"> • A Primer on U.S. Sanctions and Export Controls • Impacts of Sanctions and Export Controls on Supply Chains: On-demand supply chains rely on increasingly seamless cross-border movement of materials and goods in order to be cost-efficient. Sanctions and export controls present potential impediments that, if not managed properly, can imperil a company’s performance. • Sanctions 2025: Introduction: The current sanctions landscape is characterized by a fast pace of change, as the multilateral trading system that fueled globalization for much of the past century is under strain from the complex and ever-changing power politics between the world’s largest economies. • The Blind Men and the Elephant: An old parable about the “new elephant” of export controls and sanctions. • Impact of Russian Sanctions on Supply Chains 		

WEEK	TOPIC/ LECTURE	READINGS	ASSESSMENT / ACTIVITY	DUE DATE
WEEK 8 10/13/25- 10/19/25 NOTE: Mid-term exam due by end of Week 8	Module II Unit 10: Other Export Control Laws Unit 11: Trade Compliance Regimes in the Asia-Pacific Region Unit 12: Anti-bribery and Corruption Law	Readings Units 10-12: <ul style="list-style-type: none"> • The following is an extract from Export Controls in the European Union: The EU’s export control regime is dependent on national interpretation. Rapid development of new technologies, could lead to an increasingly fragmented EU export control regime. • Five minutes on European export controls and sanctions • EU Sanctions and export controls - the importance of trade compliance • Singapore: Strategic Goods Controls Overview • Export compliance crackdown: APAC’s semiconductor industry. (June 13, 2025). This article highlights how businesses in APAC’s semiconductor sector are facing stricter export controls and rising scrutiny. <p>An often-overlooked aspect of managing supply chains is addressing the so-called “fear factor” of corruption.</p> <ul style="list-style-type: none"> • <i>International Business Law and Its Environment</i>_Ch. 16, pp. 420-431. • SupplyChainBrain Forum: Corruption in the Global Supply Chain and Mitigating Risks • Ericsson fined \$206M for FCPA violations (Mar. ’23) • The U.S. Dept. of Justice published the Second Edition A Resource Guide to the U.S. Foreign Corrupt Practices Act . You are not required to read the manual for the course. The manual is a good resource for understanding the FCPA. 	Required Discussion Board #5	10/17/25
	MIDTERM EXAM		Modules I & II Midterm Exam	10/18/25- 10/19/25

WEEK	TOPIC/ LECTURE	READINGS	ASSESSMENT / ACTIVITY	DUE DATE
WEEK 9 10/20-26 /2025	Module III Unit 1: Intro & Government Policies and Politics Unit 2: Import Export Fundamentals, the (HTS) Harmonized Tariff Schedule & Classifications Unit 3: Harmonized Tariff Schedule's Rules of Interpretation Unit 4: Classification Assistance-Notes & Tools; Duty Rates	<ul style="list-style-type: none"> • https://www.wto.org/english/tratop_e/dispu_e/dispu_by_country_e.htm Countries that are members of the WTO or other trade agreements in which the US is a party are actively monitoring and challenging US trade practices that they feel are not compliant with their obligations of their agreements. Current retaliatory actions brought against any country can be found at: • Utilize the website: United States International Trade Commission. Locate the circle entitled HTS, then click on Current HTS by Chapter to see the actual HTS by Chapter. Scan through the HTS to get a feel for it. • A Guide to the Harmonized Tariff Schedule (HTS) of the United States • “Cross Ruling – MK Cleansers” 	Required: US International Trade Commission E-Learning Module with Quizzes: Take the E- Learning module called A Guide to the Harmonized Schedule (NOTE: Requirement To obtain Credit: Send a screen shot of the last page of the quiz to the professor stating you completed the quiz)	10/25/25
			Required: Discussion Board: Units 2,3,4 Identify a product that has not been posted, state classification and duty rate and list how you used the Rules of Interpretation, Chapter Notes, Cross Rulings and the E-Learning Module to classify. State what specifically in each of these tools helped you determine the right classification and eliminate those that were not. (NOTES: See requirements on discussions in the Syllabus under Class Participation)	10/26/25
WEEK 10 10/27- 11/2/2025	Module III Unit 5: Valuation Unit 6: Country of Origin/Rules of Origin and Marking Requirements	<ul style="list-style-type: none"> • Technical Information on the Rules of Origin from the WTO • “Cross Ruling – Marking Requirement for Containers” • “USMCA – Replacing NAFTA – 2020 Key Changes” • US Customs website, detailing the 10+2 Program 	Required: Discussion Board: Unit 5 1st 9-10 people: state some of the methods of how to determine the correct value of goods to be imported, why that can be very challenging and explain Assists and	11/1/25

WEEK	TOPIC/ LECTURE	READINGS	ASSESSMENT / ACTIVITY	DUE DATE
	Unit 7: Duty Preferential Programs, Regional Trade Agreements and Free Trade Agreements Unit 8: Security & Trade Facilitation Unit 9: Incoterms	<ul style="list-style-type: none"> • READ: Incoterms 2020 – p .2-17 & p.21-89 & 91-133. If you want to look up article by article text of rules, those are p. 135-179 • “Incoterms 2020 vs. Incoterms 2010 – Key Changes” – this short article tells you what the key changes were from 2010 to 2020, since Incoterm revisions come out every 10 years. 	Added Values. All others comment on these and discuss. (NOTES: See requirements on discussions in the Syllabus under Class Participation)	
WEEK 11 11/3-9 /2025	Module III Unit 10: Importing Process and Customs Unit 11: Importing Process Documentation Unit 12: Import Entries and ACE Unit 13: Entry Errors, Costs, Anti- Dumping Countervailing & Liquidation Unit 14: Entry Challenges & Other Regulatory Agencies Unit 15: Import Fines, Penalties & Forfeitures, ICE and Reference Materials	<ul style="list-style-type: none"> • “Hand Carry Policy” 	Required: Units 10-12 Writing Assignment: 2-4 page paper on: Pick a product to import into the US, state country of origin, country shipped from, quantity, value, etc. and be specific. State what Customs will expect of you as an importer; what documents will you have to have, what documents might you need; and explain what information each document gives US Customs. Was there information you needed to send to Customs prior to the export of your product to you? (see requirements of writing assignments under Course Policies, Special Assignments)	11/8/25
WEEK 12 11/10-16 /2025	Module IV Unit 1: Exporting, Exporter of Record and ECCN Unit 2: Licensing, Routed Exports, AES Unit 3: Pre-Shipment Inspections, Export Control	<ul style="list-style-type: none"> • “FedEx’s Finding Your ECCN” • Flowserve 1 – Story Behind VSD • Flowserve 8 – Lessons Learned 	Required: Discussion Board: Unit 6 Give feedback on willful violations in exporting, the consequences if a company is caught, and the consequences even if they are not, or give another example of a willful violation by a company that has	11/15/25

WEEK	TOPIC/ LECTURE	READINGS	ASSESSMENT / ACTIVITY	DUE DATE
	Screening/Denied Parties, End-Use Unit 4: Embargoed or Restricted Countries, Standards and Certifications Unit 5: Export Fines, Penalties & Forfeitures		been caught, or discuss Flowserve's violation. This should have definitive depth to it.	
WEEK 13 11/17-23 /2025	Module IV Unit 6: Willful Violation Results Unit 7: TIB's/Carnets, Bonded Warehouses, FTZ's Unit 8: Duty Drawback, Record Unit 9: Government Audits and Self- Audits	<ul style="list-style-type: none"> “Customs PAS Questionnaire Survey” 	Required: Writing Assignment: Unit 9 Write a 2-4 page paper, on: How to prepare for a US Customs audit, the types of audits and what are the 3 phases of a Focused Assessment audit. <i>(see requirements of writing assignments under Course Policies, Special Assignments)</i>	11/22/25
WEEK 14 11/24-30 /2025	No Assignments	Thanksgiving Week – Fall Break	No Discussions or Papers Due	
WEEK 15 12/1-7 /2025	Module IV Unit 10: Freight Forwarders, 3PL's & 4 PL's, Customs Brokers and FCPA Unit 11: Designing Global Import/Export Compliance Programs – Reporting, Accountability, Training and Websites			

WEEK	TOPIC/ LECTURE	READINGS	ASSESSMENT / ACTIVITY	DUE DATE
<p>WEEK 16 12/8- 12/12/2025</p>	<p>Unit 12: Designing Global Import/Export Compliance Programs – Valuation, Customs Brokers, Classification, Records Management and Audits</p> <p>Module IV</p> <p>Unit 13: Global Import/Export Software Systems</p> <p>Unit 14: Market Entry, Sourcing Information & Landed Cost, Researching Around the Globe and Cost Savings Opportunities</p>	<ul style="list-style-type: none"> • “Landed Cost Worksheet” 	<p>Required: Discussion Board: Unit 12 Name the key components of a successful global import/export compliance program and <u>why</u> are each important. Each person name only 2, but explain them in detail. Once all are named and explained, all others comment on one of the key components and talk about their importance and list the challenges of implementation for companies as well as the consequences of not having them.</p> <p>Required: Discussion Board: Unit 14 1st 10 people: what does a truly landed cost include, name 2-3 costs, and give a definition of what each of these are. All others comment on these or any additional costs you feel might be included in a truly landed cost by a company. Or comment on why understanding a true landed cost is important, and what happens when you don’t understand a true landed cost? Or comment on what you feel are the landed costs many companies fail to recognize and why.</p>	<p>12/6/25</p> <p>12/12/25</p>

WEEK	TOPIC/ LECTURE	READINGS	ASSESSMENT / ACTIVITY	DUE DATE
	Unit 15: Professional Certifications, Staying Educated, Keys to Being Successful and Information Resources	Visit organization websites: <ul style="list-style-type: none"> • American Association of Exporters & Importers: read news and events page, then click on events and see upcoming conferences, then click on webinars and click on AAEI action. • American Conference Institute: click on conferences, select import/export filter, you can select a filter by country as well. Where do you see conferences applicable to global import/export? 		
	FINAL EXAM		FINAL EXAM	12/11-16/25

Proctored Final Exam Procedures

The Mid-term exam is a proctored exam that must be taken at the UTD Testing Center between 10/18/25 and 10/23/25. Specific instructions will be posted in eLearning Blackboard. The Final Exam is not a Proctored exam.

Grading Policy – IMPORTANT – PLEASE READ CAREFULLY

Grading Information - Information below is for Modules I, II, III and IV.

Modules I and II will account for 50% of your grade. Modules III and IV will account for the remaining 50% of the course grade.

The Grading Scale below shows how the total points earned on all required assignments will determine each student's letter grade!

Weights for Module I and II

Activity	Points	Percentage
Module I - Writing Assignment #1: The Political Realities of “Free Trade”	(10 Points)	35%
Module I – Writing Assignment #2: WTO Dispute Settlement	(25 Points)	
Module I – Required Discussion Board #1: Unit 4 Module I- Required Discussion Board#2: Unit 9	5 Points each (10 Points)	10 %
Module II - Writing Assignment #3: The Impact of US Export Controls on Global Supply Chain Operations	(15 Points)	15%
Module II – Required Discussion Board #3: Unit 3 Module II – Required Discussion Board #4: Unit 4 Module II – Required Discussion Board #5: Unit 10	5 Points each (15 Points)	15%
Mid-term - Modules I and II	(25 Points)	25%
Total for Modules I and II	(100 Points)	100%

Weights for Modules III and IV

Activity	Points	Percentage
Module III – E-Learning Module	(15 Points)	15%
Module III Writing Assignment: Units 10-12 Pick a product that you want to import into the US...	(15 Points)	15 %
Module III – Discussion Board: Unit 2-4 Module III – Discussion Board: Unit 5	(8 Points each)	16 %
Module IV Writing Assignment: Unit 9 US Customs Audit...	(15 Points)	15 %
Module IV - Discussion Board: Unit 6 Module IV - Discussion Board: Unit 12 Module IV - Discussion Board: Unit 14	(8 Points each)	24%
Module III & IV Final Exam	(15 Points)	15% ..
Total for Modules III and IV	(100 Points)	100%

Grading Scale – Scale based on a total of 200 possible combined points for all four course Modules

Scaled Score	Letter Equivalent
188 - 200	A
180 - 187	A-
173 - 179	B+
166 - 172	B
160 - 165	B-
152 - 159	C+
140 - 151	C
Less than 140	F

Grading Policy – See above

Accessing Grades

Students can check their grades by clicking “My Grades” on the course menu after the grade for each assessment task is released.

Course Policies

Make-up exams

- For Modules I and II, there will be only one exam (mid-term) covering the materials included in both Modules I and II. Mid-Term is due at the end of **WEEK 8**. There will be no make-up exam for the mid-term exam covering Modules I and II.
- For Modules III and IV, there will be one exam at the end of the course covering the materials in Module III and Module IV. There will be no make-up exam except with a written doctor excuse or immediate family emergency that can be substantiated. Final decision is at the discretion of the instructor.

Late Work

ALL TESTS AND ASSIGNMENTS MUST BE SUBMITTED BY 11:59 p.m. ON THE SPECIFIED DUE DATE.

Modules I and II: Except as otherwise noted, any test or assignment submitted after the specified due date will receive a 50% deduction in the maximum grade available if submitted within 48 hours after the due date and a grade of zero if submitted any later. **It is especially important for students in an online class to complete all required work in timely fashion and remain on schedule!**

Modules III and IV. Except as otherwise noted, assignments submitted after the specified due date will receive a 50% deduction in the maximum grade available if submitted within 48 hours after the due date and a grade of zero if submitted any later. Students may work ahead. This means you can work online ahead of schedule and complete certain course requirements, but you cannot fall behind the schedule.

Special Assignments

Module I and II

- Students will be required to submit **three written assignments (WAs)** worth between 10-25 points each related to topics covered in certain units of Modules I and II. **NOTE: *The WTO Dispute Settlement paper (WA #2) is worth 25 points - the same as your Mid-term exam assignment!*** See the **Assignments & Academic Calendar** section of the course syllabus for details.
- **NOTE:** Important guidance for completing your three WAs for Modules I and II, including guidance for the use of in-text citations and references, is provided in the **Assignments/Exam** folder of the course. The UT Dallas Office of Community Standards and Conduct has not developed a policy specifically focused on AI. However, all students should understand that current [academic integrity policies](#) that ban plagiarism,

fabrication and cheating still do apply to our course. Instructors' expectations are that **all graded assignments for the course should be completed without the use of "generative AI" tools or software.** In addition, specific guidance regarding the essential elements of the grading rubric used for your Module I and II WAs is also provided by the instructor. Make sure you read and understand this guidance. Finally, the specific guidance below for the Modules III and IV written assignments is also applicable to these WAs.

Module III and IV

- Students will be required to submit **1 written assignments and take an e-learning module training**, all related to certain issues or topics covered in Module III and submit **1 written assignment** related to certain issues or topics covered in Module IV.
- **Note: All written assignments must be:**
 - **standard margins,**
 - **single spaced,**
 - **type size no larger than Times New Roman or Ariel 11**
 - **References should be noted in your paper, to show where you obtained your material from, and this will be considered part of the required length of the paper. If you have NO references, you cannot receive full credit for your written assignment.**
- **IMPORTANT:** Where you would like to make a **personal observation comment, identify it as such and please feel free to do so!** It is very interesting to read/hear observations on these topics. You will not be graded on these, but can enter into a discussion on them if you choose to and I do consider that as part of your participation. **Also, share your personal experiences in the world of global trade. Others and I will find them educational!** Remember, we live in a fascinating global world, with a lot of different perspectives and your experiences and thoughts are valuable pieces of information!

Class Participation

Students are required to login to the online class site. The instructor will use the tracking feature in eLearning to monitor student activity. Students are also required to participate in all class activities such as discussion board, chat or conference sessions and group projects. Students are expected to attend the online class on a regular basis and to complete all Tests and Assignments on time. Read your email at least 3 times each week. This is how the instructor communicates with you!

- As part of Modules I and II, there will be **5 required discussion board sessions**. However, students are also strongly encouraged to initiate on their own and/or participate on their own in any optional discussion boards and chat activities. The instructor (at his/her discretion) may award extra credit for those students who actively participate in any of these extra discussion boards or chat sessions that are not one of the required sessions.
- As part of Modules III and IV, there will be 5 **required discussion board sessions**.
- **NOTE: Participating in discussion boards means your total input must be at least 12 sentences in length and contain good depth and details of information. Just agreeing with another's work and repeating their work is not sufficient and will result in a much lower point assignment. Give references for your information.**

Classroom Citizenship

Virtual Classroom Citizenship - The same guidelines that apply to traditional classes should be observed in the virtual classroom environment. Please use proper etiquette when interacting with class members and the professor. Bottom line: treat others with the same level of respect you would expect others to show to you.

Comet Creed

This creed was voted on by the UT Dallas student body in 2014. It is a standard that Comets choose to live by and encourage others to do the same:

“As a Comet, I pledge honesty, integrity, and service in all that I do.”

Accommodations for Students with Disabilities

Please review [the section](#) within the UT Dallas Syllabus Policies and Procedures webpage.

Academic Support Resources

Please visit the [Academic Support Resources](#) page to view the University’s academic support resources for all students.

UT Dallas Syllabus Policies and Procedures

Please visit the [Syllabus Policies](#) page to view the University’s policies and procedures segment of the course syllabus.

Please review the catalog sections regarding the [credit/no credit](#) or [pass/fail](#) grading option and withdrawal from class.

The descriptions and timelines contained in this syllabus are subject to change at the discretion of the Professors.