

	Course	RMIS/FIN 4333 Enterprise Risk Management (Capstone)
	Professor	Dr. Steven Haynes, Ph.D., ARM, CBCP
	Term	Spring 2025
	Meetings	Wednesday 1:00 pm to 3:45 pm August 19 th to December 5 th Classroom: JSOM 2.107

Course Coordinator's Contact Information

Phone	972-883-5038
Office Location	JSOM 14. 401 or Virtual Meeting
Email	Steven.Haynes@utdallas.edu
Office Hours	By Appointment Only URL Link: Book with Me on Teams
Other Information	Please email me at the above email address – not via eLearning or LinkedIn. All emails must come from a registered UTD email account. Emails sent from another account -e.g., Hotmail, Yahoo, Gmail, etc. - will not be answered.

General Course Information

Pre-or Co-requisites	None
Course Description	Study the risks and exposures to loss affecting businesses and non-profit entities, including pure, financial, operational, and strategic risk. Data collection, analysis, and evaluation methods are presented. Risk management program objectives, goals, and management are presented along with the tools for identifying, treating, and financing risk.
Learning Outcomes	<p>At the end of the course, students will be able to:</p> <ul style="list-style-type: none"> • Comprehend the role and importance of enterprise risk management (ERM) in business, including the implementation of ERM and mitigating its potential roadblocks • Illustrate the ERM framework and process, including the evaluation of the business's internal and external environments • Engage in strategic planning within the ERM environment and apply this knowledge to real-life scenarios • Integrate an organization's ERM process with its overall strategic vision and goals • Illustrate the critical global nature of ERM and its connectivity to the success of organizations and the global economy • Apply the role of the directors, officers, and members of the board of directors in the ERM process • Evaluate an organization's risk management environment and culture

Required Text	Green, E.J. (2015) Enterprise Risk Management: A Common Framework for the Entire Organization . Elsevier Science and Technology. ISBN: 9780128006337
Supplemental Resources & Articles	Provided by Professor
Calculators/Computers	Please use whatever electronic device is necessary to succeed in this Class. However, situations may arise in which you may need to answer a call or text; please do so professionally to minimize the disturbance to the learning environment. Students will be responsible for any information missed due to the circumstances. The professor reserves the right to amend the electronic policy as needed to maximize the learning environment.
Class Schedule	Though the class dates are set, each session's material remains fluid and may be updated throughout the semester. Please make every effort to attend and actively participate in each class meeting. If low attendance becomes a persistent issue, a more restrictive policy may be implemented.

Course Policies

Course Grade/Exam Chapters	<p>The course will be graded as follows:</p> <table> <tr> <td>Assignment #1</td> <td>20%</td> </tr> <tr> <td>Assignment #2</td> <td>20%</td> </tr> <tr> <td>ERM Study</td> <td>30%</td> </tr> <tr> <td>Presentation</td> <td>20%</td> </tr> <tr> <td>Participation</td> <td>10%</td> </tr> </table> <p>Mid-term grades are provided as guidance only to indicate a student's progress. These grades are based on early coursework, so the student's overall course grade may change.</p>	Assignment #1	20%	Assignment #2	20%	ERM Study	30%	Presentation	20%	Participation	10%
Assignment #1	20%										
Assignment #2	20%										
ERM Study	30%										
Presentation	20%										
Participation	10%										
Grading	<p>All grades will be posted on eLearning. UTD's grading policies may be found online at https://catalog.utdallas.edu/2015/graduate/policies/grades. This course will follow the same scale:</p> <table> <tr> <td>97.1 - 100</td> <td>A+</td> </tr> <tr> <td>93.33 - 97</td> <td>A</td> </tr> <tr> <td>93.32 - 90.0</td> <td>A-</td> </tr> <tr> <td>89.9 - 86.67</td> <td>B+</td> </tr> <tr> <td>86.66 - 83.3</td> <td>B</td> </tr> </table>	97.1 - 100	A+	93.33 - 97	A	93.32 - 90.0	A-	89.9 - 86.67	B+	86.66 - 83.3	B
97.1 - 100	A+										
93.33 - 97	A										
93.32 - 90.0	A-										
89.9 - 86.67	B+										
86.66 - 83.3	B										

	<p>83.2 – 80.0 B- 79.9 – 76.67 C+ 76.66 – 73.3 C 73.2 – 70.0 C- 69.9 – 67.67 D+ 67.66 – 63.3 D 63.2 – 60.0 D- Below 60.0 F</p> <p>APPEALS: If there are apparent math errors on the instructor’s part, let me know after Class. All other appeals must be in writing within one week of the assignment grades posting, stating why your answer was correct. You must be able to support your argument with specific citations from the text or other material.</p>
Final Grade Calculation	<p>Grades will not be “rounded up.” For example, if you earn a 76.65, your grade is C+. The grades you earn are what are posted.</p> <p>The professor reserves the right to make extra credit opportunities available to the whole Class.</p>
Academic Integrity	<p>Cheating is a violation of the rules and will not be condoned at UT Dallas. Using or attempting any internet searches, information shared by others, materials, resources, and/or study aids, etc., during quizzes is cheating. While some may be significant motivating factors, to the student with personal honor and integrity, they are insufficient to jeopardize a higher education investment. https://www.utdallas.edu/conduct/dishonesty/</p> <p>Harassing or bullying behavior will not be tolerated, resulting in a 10-point deduction on your final grade. I will also follow up with a referral to the university’s academic affairs office for additional actions.</p>
Research Project	<p>You and two other classmates will take an emerging risk facing organizations today. The professor must approve the risk. You will create a PowerPoint presentation outlining the business activities, theoretical risk analysis (internal and external, micro v. macro, systemic v. non-systemic), possible risk mitigation controls, and management’s buy-in considerations to mitigate the risk.</p> <p>This can be an excellent opportunity to assemble everything and demonstrate your knowledge of business operations, supply chains, finances, and general administrative responsibilities. I expect a 10-minute presentation. Imagine your classmates are on the board of directors, and you are giving a presentation to ask for finite resources</p>

	<p>to mitigate or eliminate the risk. The final product due to me is a copy of the PowerPoint presentation.</p> <p>Grading criteria will be explained in Class. Content is worth 20 points and presentation is worth 10 points. I do grade hard on presentations.</p>
Assignments	<p>You will have two case assignments to analyze, critique, and write a 3-5-page response to the questions posed by the study. You are expected to examine the issues facing the organizations. You may use additional resources to answer the questions, but please use APA citation guidelines. Plagiarism will not be tolerated, so if you think it might be plagiarism, then cite it. Each case study is worth 20 points.</p>

UTD Policies

Policies and Procedures for Students

The University of Texas at Dallas provides a number of policies and procedures designed to provide students with a safe and supportive learning environment. Brief summaries of the policies and procedures are provided for you at <http://provost.utdallas.edu/home/syllabus-policies> and include information about technical support, field trip policies, off-campus activities, student conduct and discipline, academic integrity, copyright infringement, email use, withdrawal from Class, student grievance procedures, incomplete grades, access to Disability Services, and religious holy days. You may also seek further information at these websites:

- http://www.utdallas.edu/BusinessAffairs/Travel_Risk_Activities.htm
- <http://www.utdallas.edu/judicialaffairs/UTDJudicialAffairs-HOPV.html>
- <http://www.utsystem.edu/ogc/intellectualproperty/copypol2.htm>
- <http://www.utdallas.edu/disability/documentation/index.html>

JSOM is a professional school whose mission, in part, is to prepare students for the business community. Therefore, students will present themselves with commonly accepted business manners and appearance. Doing so will add to one’s professional points.

Comet Creed

This creed was voted on by the UT Dallas student body in 2014. It is a standard that Comets choose to live by and encourage others to do the same:

“As a Comet, I pledge honesty, integrity and service in all that I do.”

DESCRIPTION OF LECTURES

Topics	Description
1	Introduction to ERM
2	Project Risk Management
3	Operational Risk Management
4	Supply Chain Risk Management
5	Cyber Security Risk Management
6	Human Capital Risk Management
7	Risk Culture
8	The Role of Insurance in ERM
9	The Role of the Board of Directors
10	Strategic Enterprise Risk Management
11	Business Continuity Management