

Course Syllabus

Course Information

Course Section HMGT 3310
Course Title Healthcare Regulatory Environment
Term Spring 2023
Days & Times Tuesday/Thursdays 10-11:15 AM

Professor Contact Information

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Office Hours Tuesday and Thursday – 1:00 pm to 2:30 pm; Wednesday 2:30-4 pm

Course Pre-requisites, Co-requisites, and/or Other Restrictions

HMGT 3301

Course Description

Introduction to Healthcare regulation in the United States. Focus on provider and payor regulatory environments with consideration of compliance, safety, workforce and other regulatory matters.

Course Modality and Expectations

Course Platform	This course will be delivered in class
Expectations	Students will be expected to actively participate in class discussions. 100% attendance is expected.

COVID-19 Guidelines and Resources

The information contained in the following link lists the University's COVID-19 resources for students and instructors of record.

Please see <http://go.utdallas.edu/syllabus-policies>.

University Mission/ Student Learning Objectives/Outcomes

The University of Texas at Dallas provides the State of Texas and the nation with excellent, innovative education and research. The University is committed to graduating well-rounded citizens whose education has prepared them for rewarding lives and productive careers in a constantly changing world; to continually improving educational and research programs in the arts and sciences, engineering, and management; and to assisting the commercialization of intellectual capital generated by students, staff, and faculty.

Program Mission - Undergraduate

The BS-HMGT program will prepare students for successful careers in healthcare leadership and management. The program will recognize the needs of healthcare organizations (including hospitals, medical and dental practices, insurance companies, consulting firms, and other healthcare related industries) and how to serve these organizations by providing the foundations for excellent client care and services through outstanding management practices and leadership. The program places emphasis on six important elements of Health Care Management:

1. Human Resources and the Professional Workforce
2. Accounting, Finance and Reimbursement
3. Information Systems, Data Analysis and Uses
4. Healthcare Economics
5. Healthcare Regulatory Requirements
6. Fundamentals of Project Management

Program Goals - Undergraduate

The BS-HMGT program will prepare graduates who:

1. Will gain practical and theoretical knowledge of Healthcare management and will be able to manage a healthcare organization in a private or public environment. (SLO 1, 2)
2. Be able to identify external and internal risk factors facing today's healthcare organization (SLO 3, 4)
3. Manage multiple functional areas of a healthcare institution (SLO 5, 6)
4. Graduates will be familiar with and gain experience in project management in a healthcare environment (SLO, 7)

Undergraduate Healthcare Management Students Learning Objectives Include:

1. Students will develop competency in explaining and evaluating the evolution, structure and function of the US health care system
2. Students will demonstrate the ability to analyze health care organizations strategically, examining the impact of healthcare reform regarding cost, access, and quality
3. Students will recognize and identify key elements of healthcare accounting, finance and reimbursement.
4. Students will understand and analyze the role of information technology and management in health care decision making.
5. Students will develop skills to categorize, compare key elements of the health care economy and its impact on the greater U.S. economy.
6. Students will demonstrate the ability to explain the history of the U.S. health care legal and regulatory systems.
7. Students will demonstrate the ability to manage complex projects and plan solutions in a healthcare environment.

General Course Objectives:

1. Understand the evolution of the US Healthcare system from cash/in kind private business to highly regulated industry

2. Gain familiarity with the federal regulatory agencies that govern healthcare and understand their scope
3. Understand the impact of the Affordable Healthcare Act on regulations regarding the Medicare program, private insurance markets, tax regulation and patient privacy
4. Learn the relationship between financial practices and regulatory compliance in healthcare
5. Understand the implications of meaningful use standards and electronic record keeping in the healthcare regulatory environment
6. Consider the impact of government regulation on healthcare delivery and healthcare organizational structure

Specific Class Student Learning Objectives:

1. Students will be able to describe the elements of the Affordable Care Act, litigation surrounding the Act and the regulatory processes surrounding implementation of the Act regarding cost, reimbursement, access and quality improvement activities.
2. Students will be able to explain the history of the U.S. health care regulatory systems
3. Students will be able to explain the regulatory structures influencing health and healthcare including:
 - a. Financing of and access to healthcare (Medicare, Medicaid, and the ACA)
 - b. Unique business relationships between providers (Stark, Kickback and Fraud and Abuse/Whistleblower)
 - c. Pharmaceutical research and distribution and marketing
 - d. Human Subjects Research
 - e. Hospital and Health Organization licensure
 - f. The Healthcare Professions
 - g. Public Health organizations.

Required Textbooks and Materials

Health Care Regulation in America, Complexity, Confrontation, and Compromise; Robert I. Field, ISBN-13978-0-19-515968-4.

Internet Links/Reading as Assigned

Assignments & Academic Calendar

DATES	TOPIC/LECTURE	READING	ASSESSMENT / ACTIVITY
Week One January 17/19	<ul style="list-style-type: none"> • Introductions • Introduction to the History, Policies, and Structures That Shape Health Care Regulation 	Syllabus, Academic Calendar and Chapter 1	In-Class Discussion
Week Two January 24/26	<ul style="list-style-type: none"> • Regulation of Physicians and Other Health Care Professionals 	Chapter 2 and Assigned Internet Links	In-Class Discussion
Week Three January 31/February 2	<ul style="list-style-type: none"> • January 31 - Finish Regulation of Physicians and Other Health Care Professionals • February 2 - Regulation of Hospital and Other Health Care Institutions 	Chapter 2 and Assigned Internet Links Chapter 3 and Assigned Internet Links	January 31: Quiz One In-Class In-Class Discussion
Week Four February 7/9	<ul style="list-style-type: none"> • Regulation of Hospital and Other Health Care Institutions 	Chapter 3 and Assigned Internet Links	In-Class Discussion
Week Five February 14/16	<ul style="list-style-type: none"> • Regulation and Administration of Health Care Finance 	Chapter 4A and Assigned Internet Links	In-Class Discussion
Week Six February 21/23	<ul style="list-style-type: none"> • Finish Regulation and Administration of Health Care Finance • February 23: Quiz Two 	Chapter 4 Part B and Assigned Internet Links	In-Class Discussion February 23: Quiz Two In-Class

DATES	TOPIC/LECTURE	READING	ASSESSMENT / ACTIVITY
<p>Week Seven February 28/March 2</p>	<ul style="list-style-type: none"> • February 28: Midterm In-Class – CLOSED NOTES CLOSED BOOK • March 2 – NO CLASS – OUT OF CLASS ASSIGNMENT 	<p>Chapters 1- 4 and Assigned Internet Links</p>	<p>February 28: Midterm in Class</p> <p>March 2: Professor to Distribute research and writing assignment</p>
<p>Week Eight March 7/9</p>	<ul style="list-style-type: none"> • NO CLASS – OUT OF CLASS ASSIGNMENT 		<p>Distributed Post-Midterm - Research and Writing Assignment – Special Topics in Finance Regulation</p> <p>DUE 3/9/23 at 5 pm Via Turn It In</p>
<p>Week Nine March 14/16 Spring Break</p>			
<p>Week Ten March 21/23</p>	<ul style="list-style-type: none"> • Regulation of Drugs and Health Care Products 	<p>Chapter 5 and Assigned Internet Links</p>	
<p>Week Eleven March 28/30</p>	<ul style="list-style-type: none"> • Regulation of Public Health • March 30: Quiz 3 	<p>Chapter 6 and Assigned Internet Links – Added info on COVID 19</p>	<p>In-Class Discussion</p> <p>March 30: Quiz 3 In Class</p>
<p>Week Twelve April 4/6</p>	<ul style="list-style-type: none"> • Business Relationships 	<p>Chapter 7 and Assigned Internet Links</p>	<p>In-Class Discussion</p>

DATES	TOPIC/LECTURE	READING	ASSESSMENT / ACTIVITY
Week Thirteen April 11/13	<ul style="list-style-type: none"> Regulation and Funding of Research April 13: Quiz 4 	Chapter 8 and Assigned Internet Links	In-Class Discussion April 13: Quiz 4 -In-Class Quiz
Week Fourteen April 18/20	<ul style="list-style-type: none"> Accountable Care Act 	Assigned Internet Links and Lecture Document	In-Class Discussion
Week Fifteen April 25/27	<ul style="list-style-type: none"> Current Issues 	Assigned Internet Links and Lecture Document	In-Class Discussion
Final Exam As posted by Registrar	<ul style="list-style-type: none"> Final Exam Chapters 5-8, ACA and Current Issues CLOSED NOTES CLOSED BOOK 	Chapters 5-8, Internet Reading, ACA and Current Issues	

Grading Policies

90 % on test/paper and quizzes –

- Average of Quizzes = 20% (drop lowest grade),
- Paper = 20%,
- Midterm = 20%,
- Final = 30%

10 % = participation

- Participation is based upon student attendance and participation in Class Discussion or Projects

Course Policies

No make-up quizzes or exams will be given – Plan your attendance accordingly

Late Work

No late work accepted.

Class Attendance

Class is in person for Spring 2023. You are expected to attend all classes. In this course, class interaction significantly enhances learning, and active discussion and debate is expected of all students.

Classroom Citizenship

Participation in class discussion is required for participation grade.

Exceptions to these Policies:

Exceptions to attendance and make up policies will be accommodated only if compliant with the following University Rules:

Accommodations as announced by the University: The University has in recent history made adjustments to attendance requirements in attempts to reduce spread of the COVID-19 virus. As announced by the University, this course will modify evaluation of students' attendance and participation with any of these updates as required.

Accommodations for Students Who Must Isolate or Quarantine Due to COVID-19

To keep the UT Dallas community as safe as possible, the University requires students who test positive for COVID-19 or who are close contacts as determined by the campus contact tracing program to isolate or quarantine as applicable.

Faculty is notified by the Dean of Students' Office if a student in their class has been required to isolate (positive case) or quarantine (exposed). If so notified faculty will make lectures available for those students during the period the students must isolate or quarantine. **Absences due to COVID-19 will not be counted against an isolated or quarantined student if the Dean of Students' Office makes such notice directly to the faculty teaching this course.**

Verifying COVID-19 Isolations or Quarantines

Students must self-report COVID-19 positive results or exposures via an online form so that university campus tracers can verify, record, and take necessary campus precautions. When faculty are notified by students rather than by the Dean of Students' Office that the students are isolating or quarantining, faculty will remind students to self-report via the form. **The form is required to verify exceptions to these course attendance policies. Further, students may not attend this course until cleared by campus contact tracers post-exposure and such information must be communicated to faculty by contract tracers prior to students rejoining classes for this course.**

Student Safety remains an important part of UT Dallas' efforts to protect our community. All students will adhere to the Comet Commitment. Unvaccinated Comets will be expected to complete the mandatory Required Daily Health Screening. Those students who do not comply will be referred to the Office of Community Standards and Conduct for disciplinary action under the Student Code of Conduct – UTSP5003. All students are encouraged to read the Recommendations for Students Returning to Campus issued on August 2, 2021.

Comet Creed

This creed was voted on by the UT Dallas student body in 2014. It is a standard that Comets choose to live by and encourage others to do the same:

“As a Comet, I pledge honesty, integrity, and service in all that I do.”

UT Dallas Syllabus Policies and Procedures

Student Conduct and Discipline

The University of Texas System and The University of Texas at Dallas have rules and regulations for the orderly and efficient conduct of their business. It is the responsibility of each student and each student organization to be knowledgeable about the rules and regulations which govern student conduct and activities. General information on student conduct and discipline is contained in the UT Dallas printed publication, *A to Z Guide*, which is available to all registered students each academic year.

The University of Texas at Dallas administers student discipline within the procedures of recognized and established due process. Procedures are defined and described in the *Rules and Regulations, Series 50000*, Board of Regents, The University of Texas System, and in *Title V, Rules on Student Services and Activities* of the university's *Handbook of Operating Procedures*. Copies of these rules and regulations are available to students in the Office of the Dean of Students, where staff members are available to assist students in interpreting the rules and regulations (SU 1.602, 972/883-6391) and online at <http://www.utdallas.edu/judicialaffairs/UTDJudicialAffairs-HOPV.html>.

A student at the university neither loses the rights nor escapes the responsibilities of citizenship. He or she is expected to obey federal, state, and local laws as well as the Regents' Rules, university regulations, and administrative rules. Students are subject to discipline for violating the standards of conduct whether such conduct takes place on or off campus, or whether civil or criminal penalties are also imposed for such conduct.

Students are expected to be attentive during class and to participate actively in group activities. Students are expected to listen respectfully to faculty and to other students who are speaking. Racism, sexism, homophobia, classism, ageism, religious intolerance and other forms of bigotry are inappropriate to express in class. Personal values, comments and opinions will be respected. Classes will discuss issues that require sensitivity and maturity. Disruptive students will be asked to leave and may be subject to disciplinary action.

Academic Integrity

Violations of Academic Integrity in any form in this class will result in a minimum penalty of a zero on the assignment/test and all violations will be reported to the University's Official Review Process. There will be no exceptions to this policy.

The faculty expects from its students a high level of responsibility and academic honesty. Because the value of an academic degree depends upon the absolute integrity of the work done by the student for that degree, it is imperative that a student demonstrates a high standard of individual honor in his or her scholastic work.

Scholastic Dishonesty: Any student who commits an act of scholastic dishonesty is subject to discipline. Scholastic dishonesty includes but is not limited to cheating, plagiarism, collusion, submitting for credit any work or materials that are attributable in whole or in part to another person, taking an examination for another person, or any act designed to give unfair advantage to a student or the attempt to commit such acts.

Plagiarism, especially from the web, from portions of papers for other classes, and from any other source, is unacceptable and will be dealt with under the university's policy on

plagiarism (see general catalog for details). This course will use the resources of *turnitin.com*, which searches the web for possible plagiarism and is over 90% effective.

Copyright Notice

The copyright law of the United States (*Title 17, United States Code*) governs the making of photocopies or other reproductions of copyrighted materials, including music and software. Copying, displaying, reproducing, or distributing copyrighted works may infringe upon the copyright owner's rights and such infringement is subject to appropriate disciplinary action as well as criminal penalties provided by federal law. Usage of such material is only appropriate when that usage constitutes "fair use" under the Copyright Act. As a UT Dallas student, you are required to follow the institution's copyright policy ([UTDPP1043](#)). For more information about the fair use exemption, see <http://copyright.lib.utexas.edu/copypol2.html>.

Email Use

The University of Texas at Dallas recognizes the value and efficiency of communication between faculty/staff and students through electronic mail. At the same time, email raises some issues concerning security and the identity of each individual in an email exchange. The university encourages all official student email correspondence be sent only to a student's UT Dallas email address and that faculty and staff consider email from students official only if it originates from a UT Dallas student account. This allows the university to maintain a high degree of confidence in the identity of all individuals corresponding and the security of the transmitted information. UT Dallas furnishes each student with a free email account that is to be used in all communication with university personnel. The Department of Information Resources at UT Dallas provides a method for students to have their UT Dallas mail forwarded to other accounts.

Class Attendance

Regular and punctual class attendance is expected. Students who fail to attend class regularly are inviting scholastic difficulty. You are responsible to sign into class each class period as this is how attendance will be evaluated—no exceptions.

Withdrawal from Class

The administration of this institution has set deadlines for withdrawal from any college-level courses. These dates and times are published in that semester's course inventory and in the academic calendar. Administration procedures must be followed. It is the student's responsibility to handle withdrawal requirements from any class. In other words, a professor or other instructor cannot drop or withdraw any student. You must do the proper paperwork to ensure that you will not receive a final grade of "F" in a course if you choose not to attend the class once you are enrolled.

Student Grievance Procedures

Procedures for student grievances are found in university policy [UTDSP5005](#) (<http://policy.utdallas.edu/utdsp5005>). In attempting to resolve any student grievance regarding grades, evaluations, or other fulfillments of academic responsibility, it is the obligation of the student first to make a serious effort to resolve the matter with the instructor, supervisor, administrator, or committee with whom the grievance originated.

Incomplete Grade Policy

As per university policy, incomplete grades will be granted only for work unavoidably missed at

the semester's end and only if 70% of the course work has been completed. An incomplete grade must be resolved within eight (8) weeks from the first day of the subsequent long semester. If the required work to complete the course and to remove the incomplete grade is not submitted by the specified deadline, the incomplete grade is changed automatically to a grade of F.

Disability Services

It is the policy and practice of The University of Texas at Dallas to make reasonable accommodations for students with properly documented disabilities. However, written notification from the Office of Student AccessAbility (OSA) is required. If you are eligible to receive an accommodation and would like to request it for a course, please discuss it with an OSA staff member and allow at least one week's advanced notice. Students who have questions about receiving accommodations, or those who have, or think they may have, a disability (mobility, sensory, health, psychological, learning, etc.) are invited to contact the Office of Student AccessAbility for a confidential discussion.

The primary functions of the Office of Student AccessAbility are to provide:

1. academic accommodations for students with a documented permanent physical, mental or sensory disability
2. non-academic accommodations
3. resource and referral information and advocacy support as necessary and appropriate.

OSA is located in the Student Services Building, suite 3.200. They can be reached by phone at (972) 883-2098, or by email at disabilityservice@utdallas.edu.

Religious Holy Days

The University of Texas at Dallas will excuse a student from class or other required activities for the travel to and observance of a religious holy day for a religion whose places of worship are exempt from property tax under Section 11.20, Tax Code, *Texas Code Annotated*.

The student is encouraged to notify the instructor or activity sponsor as soon as possible regarding the absence, preferably in advance of the assignment. The student, so excused, will be allowed to take the exam or complete the assignment within a reasonable time after the absence: a period equal to the length of the absence, up to a maximum of one week. A student who notifies the instructor and completes any missed exam or assignment may not be penalized for the absence. A student who fails to complete the exam or assignment within the prescribed period may receive a failing grade for that exam or assignment.

If a student or an instructor disagrees about the nature of the absence [i.e., for the purpose of observing a religious holy day] or if there is similar disagreement about whether the student has been given a reasonable time to complete any missed assignments or examinations, either the student or the instructor may request a ruling from the chief executive officer of the institution, or his or her designee. The chief executive officer or designee must take into account the legislative intent of *TEC 51.911(b)*, and the student and instructor will abide by the decision of the chief executive officer or designee.

Avoiding Plagiarism

Take time to make careful choices among--and learn to use--the research tools available to you. You will probably find that your favorite web search engine is not adequate by itself for college-level research. Consult with your professor or a librarian. You may need to use

specialized research tools, some of which may require learning new searching techniques.

Expect to make trips to the library. While you can access many of the library's resources from your home computer, you may find that you need to make several trips to use materials or research tools that are not accessible remotely. Of course, you will be seeking the best information, not settling for sources simply because they happen to be available online.

Allow time for gathering materials that are not available at UT Dallas. The InterLibrary Loan Office can borrow articles and books from other libraries, but this process takes additional time.

Allow time for reading, rereading, absorbing information, taking notes, synthesizing, and revising your research strategy or conducting additional research as new questions arise.

Sloppy note-taking increases the risk that you will unintentionally plagiarize. Unless you have taken note carefully, it may be hard to tell whether you copied certain passages exactly, paraphrased them, or wrote them yourself. This is especially problematic when using electronic source materials, since they can so easily be copied and pasted into your own document.

Identify words that you copy directly from a source by placing quotation marks around them, typing them in a different color, or highlighting them. (Do this immediately as you are making your notes. Don't expect to remember days or weeks later what phrases you copied directly.) Make sure to indicate the exact beginning and end of the quoted passage. Copy the wording, punctuation and spelling exactly as it appears in the original.

Jot down the page number and author or title of the source each time you make a note, even if you are not quoting directly but are only paraphrasing.

Keep a working bibliography of your sources so that you can go back to them easily when it's time to double-check the accuracy of your notes. If you do this faithfully during the note-taking phase, you will have no trouble completing the "works cited" section of your paper later on.

Keep a research log. As you search databases and consult reference books, keep track of what search terms and databases you used and the call numbers and URLs of information sources. This will help if you need to refine your research strategy, locate a source a second time, or show your professor what works you consulted in the process of completing the project.

You must cite direct quotes.

You must cite paraphrases. Paraphrasing is rewriting a passage or block of text in your own words. If you paraphrase, you must still cite the original source of the idea.

You must cite ideas given to you in a conversation, in correspondence, or over email.

You must cite sayings or quotations that are not familiar, or facts that are not "common knowledge." However, it is not necessary to cite a source if you are repeating a well known quote or familiar proverb. Common knowledge is something that is widely known. For example, it is widely known that Bill Clinton served two terms as president; it would not be necessary to cite a source for this fact.

These types of sources should be cited as well. Printed sources: Books, parts of books, magazine or journal articles, newspaper articles, letters, diaries, public or private documents; ***Electronic sources:*** Web pages, articles from e-journals, newsgroup postings, graphics, email messages, software, databases; ***Images:*** Works of art, illustrations, cartoons, tables, charts, graphs;

Recorded or spoken material: Course lectures, films, videos, TV or radio broadcasts, interviews, public speeches, conversations.

Resources to Help You Succeed

The GEMS Center (located within the Conference Center) provides a wide array of free academic support and enhancement for UT Dallas undergraduate students. Offerings include, but are not limited to, a Math Lab and Writing Center, Peer Tutoring (with a focus on science, technology, engineering and math courses), test review sessions, and academic success coaching. The current menu of services, schedules, and contact information is posted on the GEMS website: <http://www.utdallas.edu/ossa/gems/>

Please go to <http://go.utdallas.edu/syllabus-policies> for these policies.

The descriptions and timelines contained in this syllabus are subject to change at the discretion of the Professor.