

UT Dallas Compact for FY 08 – FY 09

Date: 5/21/2007

I. Campus Mission

Mission: The University of Texas at Dallas serves the Metroplex and the State of Texas as a global leader in innovative, high quality science, engineering, and business education and research. The University is committed to producing engaged graduates prepared for life, work, and leadership in a constantly changing world; advancing excellent educational and research programs in the natural and social sciences, engineering and technology, management, and the liberal, creative, and practical arts; and transforming ideas into actions that directly benefit the personal, economic, social, and cultural lives of the citizens of Texas.

Top 5 Priorities for FY 08 – FY 09:

- 1: Allocate resources to enhance research in line with strategic initiatives.
- 2: Increase enrollment, while maintaining standards, to achieve a growth of 5,000FTE over the next 10 years.
- 3: Allocate resources to enhance academic offerings and teaching in line with strategic objectives.
- 4: Fulfill commitments of the Engineering and Science Research Enhancement Program
- 5: Implement measures to increase persistence and graduation rates.

II. Performance Summary Table and Analysis

INDICATOR	PAST	CURRENT	GOAL	EXPLANATION
Persistence Rates – First-year persistence rates for first-time, full-time degree-seeking undergraduates (cohort)	78.0% (2000) 80.2% (2003)	82.5% (2004)	85% 2008	raise retention rates as part of graduation initiative
4-Year Graduation Rates – undergraduates graduating in four years or less from same institution (cohort)	31.7% (1997) 30.6% (2000)	30.7% (2001)	37% 2008	raise 4-year rate to over 40% by 2011
6-Year Graduation Rates – undergraduates graduating in six years or less from same institution (cohort)	55.2% (1995) 56.4% (1998)	56.6% (1999)	60% 2008	raise 6-year rate to 65% by 2013
<i>You may add up to five additional indicators</i>				
Total Research Expenditures	\$27.7m 2002 \$43.1m 2005	\$43.1m 2006	\$46.5m 2008	Annual R&D growth of 4%.
Increase in Enrollment	14092 (f04)	14523 (f 06)	f08 15110	Annual enrollment growth of 2%
SCH generated by Tenure/Tenure-track Faculty	Fall 03-04 SCH +7.4%; 04-05 SCH - 3.8%	F05-06 --2%	F07-08 +3%	Reverse declines.
Increase in freshmen enrollment while sustaining quality	f05 1203 students aver. SAT 1241	f06 1171 students aver. SAT 1245	f08 1291 students aver. SAT 1240	Annual growth of 5%

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III. Update Strategic Initiatives from 2006 Compact

- a. Completed Initiatives – Please provide an update on up to five strategic initiatives from last year's compact that are completed for which this is the final update

	Impact (Metrics)	Analysis	Goal	Evaluation of initiative success
1. Allocate existing resources to preserve quality in teaching.				
	Organized class sections alignment to promote eff.	Course scheduling, curriculum streamlined. Smaller programs re-evaluated.	Streamlined curriculum	Greater coordination of core curriculum.
2. Protect enrollment gains, access and student quality achieved over last decade				
	Enrollment, student diversity, graduation rates	New sources of funding were applied to scholarship and outreach programs.	Increase enrollment and graduation rates.	Freshmen enrollment, diversity stabilized while student quality improved. Graduation rates must be improved.
3. Allocate existing resources to preserve quality in research programs				
	NSF ranking increased	R&D opportunities exist in strategic areas	Increase Federal R&D expenditures	Research efforts achieving national recognition. Additional faculty required.
4.				
5.				

- b. Ongoing Initiatives – Please provide an update on up to five strategic initiatives from last year's compact that are ongoing

	Impact (Metrics)	Analysis	Goal	Next Steps
1. Sustain progress over last decade in becoming a "first tier" institution in terms of programs, research and faculty quality				
	National rankings	Student quality has increased. Programs have been expanded.	Tier 2 status	Add more degree programs, hire research-oriented faculty in all fields.
2. Enhance research, graduate education and technology-driven economic development				
	PHD's awarded, degrees offered	Off-campus facilities have been procured. Strategic plan complete.	Increase number of PhD's awarded & # res. fac.	Continue to hire more tenured/on-track faculty in STEM, biomedical fields. Enhance graduate recruitment.
3. Fulfill commitments to the Engineering and Science Research Enhancement Initiative				
	Federal R&D, national rankings	Additional facilities to accommodate initiative are complete.	Increase endowed chairs, grad fellowships	Complete the engineering enhancement initiative campaign.
4.				
5.				

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IV. New Strategic Initiatives

Please tell us briefly about up to three new strategic initiatives you are undertaking in the coming year.

Initiative, Rationale, & Strategy	Expected Impact (Metrics)	Goal	Specific Steps in 07-08, 08-09
1. Increase number of tenured/on-track faculty and researchers. Rationale: Additional faculty and researchers will generate needed research dollars and head new programs. Strategy: targeted hiring.			
	Federal research expenditures and degrees offered/awarded (especially PHD's) will be metrics.	610 T/TT faculty (370 in research), 25 researchers	Within budgetary constraints, hire as many T/TT faculty as possible, primarily in sciences & engineering who will engage in external research. Hire up to 10 research staff.
2. Increase number of buildings and improve infrastructure. Rationale: to accommodate planned program, personnel and enrollment expansion. Strategy: tap PUF, service fees, private funding sources.			
	Will accommodate additional research and faculty; improve student service/educational experience.	Add 855,000 gross sq.ft. research/faculty space	Add 180,000 gross sq.ft. (3 new buildings), renovate 160,000 gross sq.ft. (Founders)
3. Increase UT Dallas's endowment. Rationale: key to financing long-range expansion goals. Strategy: complete engineering enhancement project and initiate new 10-year, campus-wide capital campaign.			
	Increased private/alumni giving rates; more endowed chairs; more scholarships, fellowships available	Substantially increase UTD's endowment	First stage of campaign will focus on private funding for endowed chairs, fellowships, scholarships, special research programs.

V. UT System Strategic Plan Initiatives

a. Enrollment Management Plan Development and Targets

Please provide a brief update on the status of your Enrollment Management Plan including total enrollment goals and changes in admissions standards.

The University currently enrolls 15,523 total students and 10,000 full-time-equivalent (FTE) students. Nearly 36% of the students are graduate students, a proportion that is not expected to change significantly. The University will add 5,000 new FTE students via two mechanisms: 1) growth of existing programs at an average rate of 3% per year, which will increase enrollment by 3,400 students, and 2) creation of new programs, specifically in emerging technologies and biomedical/biotechnological fields, which will add at least 1,600 students within 10 years. UTD will maintain current admissions standards and expand recruitment efforts into local community colleges and untapped Texas markets (e.g., Houston). Privately-funded landscaping initiative, expanded student services and additional scholarship monies will serve as recruitment tools.

b. Financial Management Plan

Please provide a brief update on the status of your Financial Management Plan.

Estimated annual operating cost to increase faculty/ research staff is \$70 million. Sources of funding: \$55 million per year from additional 5,000 FTE students; \$10 million annually from increased State appropriations and/or tuition; \$5 million from expanded executive education, tuition reimbursement from research contracts, and other means; and \$17 million annually from new indirect cost recovery funds generated by increased research productivity. Estimated total start-up cost for 300 research faculty is \$105 million over 10 years. Sources of funding: \$20 million from existing state initiatives, \$3 million annually from UT System STARS, \$2 million annually from indirect cost recovery, \$500,000 annually private monies. Estimated cost for new buildings, infrastructure enhancements and renovations is \$809 million over 10 years. Sources of funding: \$513 million from State/PUF; \$193 million service income; \$68 million UTD budget; \$35 million private gifts.

c. Information Security Plan

Please provide a brief update on the status of your Information Security Plan.

The UTD Information Security Office (ISO) provides proactive security analysis, develops a robust security architecture and ingrains security awareness into the university's environment. ISO works in partnership with the various Information Resources departments, Internal Audit, Compliance and Information Technology representatives from each school to support the university's mission and goals. This department is responsible for a wide variety of issues, including development, maintenance and review of policies and procedures; disaster recovery planning; campus security strategies including edge and internal

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security; malicious code detection and prevention; break-in investigations (including forensics); and public security awareness programs. This department works to ensure awareness and compliance with local, state and federal laws

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VI. System Contributions and Investments

Please provide a brief update on the results of the following System investments/contributions to your campus as applicable.

a. Summary of investments:

	\$ Amount, FY 05	\$ Amount, FY 06	Description / Metrics of Impact
STARs Program	--	\$500,000	Sponsored External Funding:
STARs Program – noncompetitive (1 award)	\$429,000	NA	Patents Issued: Patents Pending:
			Scientific Publications: Collaborations:
			Appointments to National Academies: Appointments to National Scholarly Boards: Appointments to Int'l Scholarly Boards:
			Sponsored Graduate Students: Sponsored Post-Docs:
TOTAL	\$429,000	\$500,000	

b. Other System contributions:

VII. New Faculty Positions Projected to 2010

FIELD	Number of New Faculty Added in FY 06	Number of New Faculty Added in FY 07	Number of New Faculty Planned to add in FY 08	Number of New Faculty Planned to add in FY 09	Total Number of New Faculty Planned to add by FY 10
STEM	9	4	41	41	82
Medical/Health	4	4	4	4	8
<i>Add up to six additional fields:</i>					
Liberal/Fine Arts	1	1	6	5	13
Business	11	7	7	7	14
Social Sciences	7	7	2	2	4

Comments: Most faculty growth will occur in the STEM majors with special emphasis on engineering in fulfillment of mandates established in the Engineering Enhancement Project.

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- VIII. Status of Campus Strategic/Long-Range Plan:** After a lengthy development process and alignment with UT System's strategic plan, the campus master plan was finalized and sent to UT System for review.
- IX. Campus Consultation to Develop Compact:** Efforts were made to ensure that the Compact was in alignment with the President's strategic plan which had been developed with input from the Provost, the Deans and the President's Cabinet.

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X. Budget

Operating Budget - Fiscal Year Ending August 31, 2007					
	FY 2005	FY 2006	FY 2007	Increases (Decreases)	
	Actual	Adjusted Budget	Operating Budget	From 2006 to 2007	
				Amount	Percent
Operating Revenues:					
Tuition and Fees	\$ 73,051,087	81,583,322	95,583,589	14,000,267	17.2%
Federal Sponsored Programs	27,379,435	25,287,451	27,771,599	2,484,148	9.8%
State Sponsored Programs	6,242,232	27,330,724	15,486,659	(11,844,065)	-43.3%
Local and Private Sponsored Programs	4,950,504	4,721,795	5,227,033	505,238	10.7%
Net Sales and Services of Educational Activities	5,346,088	6,937,764	7,040,978	103,214	1.5%
Net Sales and Services of Hospital and Clinics	-	-	-	-	-
Net Professional Fees	-	-	-	-	-
Net Auxiliary Enterprises	5,879,939	5,676,500	4,634,702	(1,041,798)	-18.4%
Other Operating Revenues	7,530,113	2,056,421	1,902,969	(153,452)	-7.5%
Total Operating Revenues	130,379,398	153,593,977	157,647,529	4,053,552	2.6%
Operating Expenses:					
Instruction	76,757,838	88,929,763	88,825,323	(104,440)	-0.1%
Academic Support	17,715,048	15,880,791	19,938,473	4,057,682	25.6%
Research	34,104,476	48,719,897	39,953,125	(8,766,772)	-18.0%
Public Service	5,719,669	5,841,222	6,495,438	654,216	11.2%
Hospitals and Clinics	-	-	-	-	-
Institutional Support	16,335,949	17,516,883	25,708,975	8,192,092	46.8%
Student Services	7,430,530	8,740,210	9,017,367	277,157	3.2%
Operations and Maintenance of Plant	12,847,495	12,367,544	16,932,588	4,565,044	36.9%
Scholarships and Fellowships	11,217,541	12,060,523	11,537,949	(522,574)	-4.3%
Auxiliary Enterprises	11,586,622	12,041,792	14,029,474	1,987,682	16.5%
Depreciation and Amortization	14,953,031	16,155,273	20,000,000	3,844,727	23.8%
Total Operating Expenses	208,668,199	238,253,898	252,438,712	14,184,814	6.0%
Operating Surplus/Deficit	(78,288,801)	(84,659,921)	(94,791,183)	(10,131,262)	12.0%
Budgeted Nonoperating Revenues (Expenses):					
State Appropriations & HEAF	64,087,651	71,699,334	75,494,531	3,795,197	5.3%
Gifts in Support of Operations	4,484,689	6,899,468	5,819,670	(1,079,798)	-15.7%
Net Investment Income	9,794,646	8,662,649	10,101,649	1,439,000	16.6%
Other Non-Operating Revenue	-	-	-	-	-
Other Non-Operating (Expenses)	-	-	-	-	-
Net Non-Operating Revenue/(Expenses)	78,366,986	87,261,451	91,415,850	4,154,399	4.8%
Transfers and Other:					
AUF Transfers Received	-	-	-	-	-
AUF Transfers (Made)	-	-	-	-	-
Transfers for Debt Service - Interest	(3,147,593)	(4,250,863)	(8,337,656)	(4,086,793)	96.1%
Total Transfers and Other	(3,147,593)	(4,250,863)	(8,337,656)	(4,086,793)	96.1%
Budget Margin (Deficit)	(3,069,408)	(1,649,333)	(11,712,989)	(10,063,656)	610.2%
Reconciliation to Change in Net Assets:					
Net Non-Profit Health Corp Activity	-	-	-	-	-
Net Inc./(Dec.) in Fair Value of Investments	18,198,402	-	-	-	-
Interest Expense on Capital Asset Financings	-	-	-	-	-
Capital Approp., Gifts and Sponsored Programs	2,917,275	8,185,219	2,000,000	(6,185,219)	-75.6%
Additions to Permanent Endowments	7,410,811	1,150,000	1,200,000	50,000	4.3%
Transfers for Debt Service - Principal	(2,250,137)	(2,385,000)	(3,372,000)	(987,000)	41.4%
Reverse Transfers for Debt Service (System Only)	-	-	-	-	-
Transfers and Other	63,901,324	70,222,744	26,440,500	(43,782,244)	-62.3%
SRECNA Change in Net Assets	\$ 87,108,267	75,523,630	14,555,511	(60,968,119)	-80.7%
Total Revenues and AUF Transfers	\$ 208,746,384	240,855,428	249,063,379	8,207,951	3.4%
Total Expenses (Including Transfers for Interest)	(211,815,792)	(242,504,761)	(260,776,368)	(18,271,607)	7.5%
Budget Margin (Deficit)	\$ (3,069,408)	(1,649,333)	(11,712,989)	(10,063,656)	
Reconciliation to Use of Prior Year Balances					
Depreciation		16,155,273	20,000,000		
Capital Outlay		(18,671,053)	(13,415,000)		
Transfers for Debt Service - Principal		(2,385,000)	(3,372,000)		
Budgeted Transfers		670,000	(240,000)		
Use of Prior Year Balances		(5,880,113)	(8,739,989)		

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XI. Data Summary

<i>fall</i>	2000	2001	2002	2003	2004	2005	2006
Undergraduate headcount	7,807	9,009	9,482	9,523	9,782	10,074	
Graduate/professional headcount	3,138	3,446	3,747	4,195	4,310	4,325	
Total enrollment	10,945	12,455	13,229	13,718	14,092	14,399	14,523
<i>yr of matriculation</i>	1998	1999	2000	2001	2002	2003	2004
1st year persistence	75.6%	77.7%	78.0%	79.4%	83.8%	80.2%	82.5%
<i>yr of matriculation</i>	1995	1996	1997	1998	1999	2000	2001
4-year graduation rate	32.0%	30.3%	31.7%	37.7%	29.6%	30.6%	30.7%
5-year graduation rate	48.3%	46.0%	51.5%	50.9%	50.9%	49.8%	
6-year graduation rate	55.2%	51.8%	56.2%	56.4%	56.6%		
<i>academic year</i>	99-00	00-01	01-02	02-03	03-04	04-05	05-06
Baccalaureate degrees granted	1,303	1,386	1,537	1,605	1,823	2,020	
Master's degrees	1,077	1,129	1,172	1,299	1,363	1,352	
Doctorate degrees	64	69	58	70	50	117	
Professional degrees	0	0	0	0	4	9	
<i>academic year</i>	00-01	01-02	02-03	03-04	04-05	05-06	06-07
All instructional staff	596	655	716	743	774	850	
Administrative		111	123	101	103	110	122
Other, Non-Faculty		1,179	1,281	1,341	1,384	1,530	1,624
Student employees		456	919	1,005	1,070	1,136	1,210
<i>fall</i>	1999	2000	2001	2002	2003	2004	2005
FTE student / FTE faculty ratio	19 to 1	20 to 1	22 to 1	22 to 1	21 to 1	21 to 1	21 to 1
<i>fiscal year</i>	2000	2001	2002	2003	2004	2005	2006
Federal research expenditures	\$7,049,617	\$8,781,295	\$11,815,490	\$14,432,841	\$15,733,571	\$19,933,291	\$19,953,502
<i>fiscal year</i>	2000	2001	2002	2003	2004	2005	2006
Revenue / FTE student	\$14,000	\$15,000	\$13,000	\$13,000	\$13,000	\$13,000	\$14,000
(nearest thousand)							
<i>as of</i>	8/31/2000	8/31/2001	8/31/2002	8/31/2003	8/31/2004	8/31/2005	8/31/2006
Endowment total value	\$187,273,000	\$190,257,000	\$171,653,000	\$181,753,000	\$195,714,000	\$222,424,000	\$236,111,000