

Fiscal Operations Report and Application to Participate (FISAP)

Report: Award Year July 1, 2005 through June 30, 2006; Application: Award Year July 1, 2007 through June 30, 2008

Part I: Identifying Information, Certifications and Warning

Debarment Form and Signature Page

Section A. Identifying Information

1a. Name and address of school

University of Texas at Dallas
2601 North Floyd Road
Richardson TX 75080-1407

2. OPEID Number 00974100

3. Type of school (select one)

- ☒ 3.1 public
☐ 3.2 private/non-profit
☐ 3.3 proprietary

(Select one if proprietary)

- ☐ a) art
☐ b) business
☐ c) cosmetology
☐ d) trade and technical
☐ e) other

1b. Mailing address (if different from 1a)

4. Length/type of longest program (select one)

- ☐ 4.1 less than 1 year
☐ 4.2 1 year but less than 2 years
☐ 4.3 2 years but less than 3 years
☐ 4.4 3 years but less than 4 years
☐ 4.5 4 years (no higher than a baccalaureate degree)
☒ 4.6 5 years or more
☐ 4.7 postbaccalaureate only

5. Additional locations

Schools with separately eligible additional locations that will be funded under this application must list these locations and their addresses and OPEID Numbers on the screen. You may not file a separate application for any separately eligible school listed herein.

We have entered a list of separately eligible additional locations included in this application.

☐ yes ☒ no

6. Financial Aid Administrator

Name MARIA RAMOS
E-mail address RAMOS@UTDALLAS.EDU

Telephone No. (972) 883-4021
Fax No. (972) 883-2947

7. Name and address of private financial aid consultant firm, if any

Name _____
Address 1 _____
Address 2 _____
City _____ State _____ Zip _____

Section B. Certifications and Warning

Certifications Regarding Lobbying; Debarment, Suspension, and Other Responsibility Matters; and Drug-Free Workplace Requirements

Applicants should review the regulations and the instructions for certification included in the regulations before completing this form. Signature of this form provides for compliance with certification requirements under 34 CFR Part 82, "New Restrictions on Lobbying," and 34 CFR Part 85, "Government-wide Debarment and Suspension (Nonprocurement) and Government-wide Requirements for Drug-Free Workplace (Grants)." The certifications shall be treated as a material representation of fact upon which reliance will be placed when the Department of Education determines to award the covered transaction, grant, or cooperative agreement.

Debarment Form and Signature Page

Name of school University of Texas at Dallas

OPEID Number 00974100 State TX

1. Lobbying

As required by Section 1352, Title 31 of the U.S. Code, and implemented at 34 CFR Part 82, for persons entering into a grant or cooperative agreement over \$100,000, as defined at 34 CFR Part 82, Sections 82.105 and 82.110, the applicant certifies that:

- a) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the making of any Federal grant, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal grant or cooperative agreement;
- b) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal grant or cooperative agreement, the undersigned shall complete and submit Standard Form - LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions;
- c) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subgrants, contracts under grants and cooperative agreements, and subcontracts) and that all subrecipients shall certify and disclose accordingly.

2. Debarment, Suspension, and Other Responsibility Matters

As required by Executive Order 12549, Debarment and Suspension, and implemented at 34 CFR Part 85, for prospective participants in primary covered transactions, as defined at 34 CFR Part 85, Sections 85.105 and 85.110—

A. The applicant certifies that it and its principals:

- a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- b) Have not within a three-year period preceding this application been convicted of, or had a civil judgement rendered against them for, commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- c) Are not presently indicted for, or otherwise criminally or civilly charged by, a governmental entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (2)(b) of this certification; and
- d) Have not within a three-year period preceding this application had one or more public transactions (Federal, State, or local) terminated for cause or default; and

B. Where the applicant is unable to certify to any of the statements in this certification, he or she shall attach an explanation to this application.

3. Drug-Free Workplace (Grantees Other Than Individuals)

As required by the Drug-Free Workplace Act of 1988, and implemented at 34 CFR Part 85, Subpart F, for grantees, as defined at 34 CFR Part 85, Sections 85.605 and 85.610—

A. The applicant certifies that it will, or will continue to, provide a drug-free workplace by:

- a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
- b) Establishing an on-going drug-free awareness program to inform employees about:
 - 1) The dangers of drug abuse in the workplace;
 - 2) The grantee's policy of maintaining a drug-free workplace;
 - 3) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - 4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
- c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);
- d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will:
 - 1) Abide by the terms of the statement; and
 - 2) Notify the employer in writing of the employee's conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

Debarment Form and Signature Page

Name of school University of Texas at Dallas

OPEID Number 00974100 State TX

- e) Notifying the agency, in writing, within 10 calendar days after receiving notice under subparagraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide such a notice, including position title, to:
- Director, Grants Policy and Oversight Staff, U.S. Department of Education
400 Maryland Avenue, S.W. (Room 7065, Potomac Center Plaza)
Washington, DC 20202-4250
- The notice shall include the identification number(s) of each affected grant;
- f) Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph (d)(2), with respect to any employee who is so convicted:
- 1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 - 2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
- g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e), and (f).

B. The applicant may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant: Place of Performance (Street address, city, county, state, zip code)

Check [] if there are workplaces on file that are not identified here.

4. Drug-Free Workplace (Grantees Who Are Individuals)

As required by the Drug-Free Workplace Act of 1988, and implemented at 34 CFR Part 85, Subpart F, for grantees, as defined at 34 CFR Part 85, Sections 85.605 and 85.610—

- A. As a condition of the grant, I certify that I will not engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance in conducting any activity with the grant; and
- B. If convicted of a criminal drug offense resulting from a violation occurring during the conduct of any grant activity, I will report the conviction, in writing, within 10 calendar days of the conviction, to: Director, Grants Policy and Oversight Staff, Department of Education, 400 Maryland Avenue, S.W. (Room 7065, Potomac Center Plaza), Washington, DC 20202-4250. Notice shall include the identification number(s) of each affected grant.

I CERTIFY THAT MY SCHOOL WILL COMPLY WITH THE ABOVE CERTIFICATIONS REGARDING LOBBYING; DEBARMENT, SUSPENSION, AND OTHER RESPONSIBILITY MATTERS; AND DRUG-FREE WORKPLACE REQUIREMENTS. I FURTHER CERTIFY THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC FISAP IS IN COMPLIANCE WITH GOVERNING LEGISLATION AND REGULATIONS AND IS TRUE AND ACCURATE TO THE BEST OF MY KNOWLEDGE. I UNDERSTAND THAT ALL INFORMATION ASSOCIATED WITH THIS FISAP IS SUBJECT TO AUDIT AND PROGRAM REVIEW BY REPRESENTATIVES OF THE SECRETARY OF EDUCATION.

WARNING: ANY PERSON WHO KNOWINGLY PROVIDES FALSE OR MISLEADING INFORMATION ON THIS FISAP WILL BE SUBJECT TO A FINE OF UP TO \$10,000 OR IMPRISONMENT OF UP TO 5 YEARS OR BOTH UNDER PROVISIONS OF THE UNITED STATES CRIMINAL CODE TITLE 18 SECTION 1001.

8. Chief Executive Officer (President, Chancellor, CEO, Director)

Signature _____
Name DR. David E. Daniel
Title President
E-mail address dedaniel@utdallas.edu

Date signed 9/21/2006
Telephone No. (972) 883-2201
Fax No. (972) 883-2947

Mail form to

**FISAP Administrator
1951 Kidwell Drive, Eighth Floor
Vienna, Virginia 22182**

Application to Participate, Part II

Name of school University of Texas at Dallas

OPEID Number 00974100 State TX

Part II. Application to Participate for Award Year July 1, 2007 through June 30, 2008

Section A. Request for Funds for the 2007-2008 Award Year

1. Federal Perkins Loan Level of Expenditures \$ 500000

2. Federal Perkins Loan Federal Capital Contribution \$ 50000

3. FSEOG federal funds \$ 500000

4. FWS federal funds \$ 500000

Section B. Federal Perkins Loan Program Liquidation Request

(Applies only to certain schools; see instructions)

5. My school wishes to discontinue participation in the Federal Perkins Loan Program. ☐ yes ☒ no

Section C. Waiver Request for the Underuse of Funds

My school has returned more than 10 percent of its Federal Perkins Loan, FSEOG, or FWS allocation for the 2005-2006 award year.

6. My school wishes to apply for a waiver of the penalty for the underuse of funds and will provide, on the additional information screen, a written explanation of the circumstances. ☐ yes ☒ no

Application to Participate, Part II (continued)

Name of school University of Texas at Dallas
OPEID Number 00974100 State TX

Part II. Application to Participate for Award Year July 1, 2007 through June 30, 2008

Section D. Information on Enrollment

My school has a traditional calendar. ☒ yes ☐ no

Schools with a non-traditional calendar that had 2005-2006 enrollment, fill in fields 9 through 20.

Schools with a traditional calendar that had 2005-2006 enrollment, fill in Field 7.

	Undergraduate (a)	Graduate/Professional (b)		Continuing Students (a)	New Starts (b)
			9. July 1, 2005	0	0
			10. August 1	0	0
7. Total number of students, 2005-2006	9412	5068	11. September 1	0	0
			12. October 1	0	0
			13. November 1	0	0
			14. December 1	0	0
			15. January 1, 2006	0	0
			16. February 1	0	0
			17. March 1	0	0
			18. April 1	0	0
			19. May 1	0	0
			20. June 1, 2006	0	0
			21. TOTAL	0	0

Schools with a traditional calendar that did not have 2005-2006 enrollment, fill in Field 8.

	Undergraduate (a)	Graduate/Professional (b)
8. Estimated number of students, 2006-2007	0	0

Section E. Assessments and Expenditures

	Undergraduate (a)	Graduate/Professional (b)
22. Total tuition and fees for the award year July 1, 2005 to June 30, 2006	\$ 62560028	\$ 34731197
23. Total Federal Pell Grant expenditures for the 2005-2006 award year	\$ 5815745	
24. Total expended for state grants and scholarships made to undergraduates for the award year July 1, 2005 to June 30, 2006	\$ 3318776	

Section F. Information on Eligible Aid Applicants Enrolled in Your School for Award Year 2005-2006

	Dependent Undergraduate Without Baccalaureate/ 1st Prof. Degree (a)	Dependent Undergraduate With Baccalaureate/ 1st Prof. Degree (b)	Independent Undergraduate Without Baccalaureate/ 1st Prof. Degree (c)	Independent Undergraduate With Baccalaureate/ 1st Prof. Degree (d)	Independent Graduate/ Professional (e)
25. Students with an "Automatic" Zero EFC	201	0	150	8	41
Taxable and Untaxed Income					
26. \$0 - \$2,999	12	0	\$0 - \$999	162	8
27. \$3,000 - \$5,999	19	0	\$1,000 - \$1,999	49	2
28. \$6,000 - \$8,999	27	0	\$2,000 - \$2,999	46	3
29. \$9,000 - \$11,999	21	0	\$3,000 - \$3,999	52	1
30. \$12,000 - \$14,999	34	1	\$4,000 - \$4,999	44	4
31. \$15,000 - \$17,999	38	1	\$5,000 - \$5,999	45	4
32. \$18,000 - \$23,999	155	0	\$6,000 - \$7,999	120	0
33. \$24,000 - \$29,999	195	0	\$8,000 - \$9,999	92	3
34. \$30,000 - \$35,999	203	1	\$10,000 - \$11,999	85	6
35. \$36,000 - \$41,999	200	3	\$12,000 - \$13,999	89	3
36. \$42,000 - \$47,999	191	1	\$14,000 - \$15,999	82	3
37. \$48,000 - \$53,999	191	1	\$16,000 - \$17,999	81	2
38. \$54,000 - \$59,999	151	1	\$18,000 - \$19,999	100	1
39. \$60,000 and over	1502	8	\$20,000 and over	1228	63
40. TOTAL	3140	17	TOTAL	2425	111
					1431

Fiscal Operations Report, Part III

Name of school University of Texas at Dallas

OPEID Number 00974100 State TX

Part III. Federal Perkins Loan Program for Award Year July 1, 2005 through June 30, 2006

Section A. Fiscal Report (Cumulative) as of June 30, 2006

Field Item	Amount (a)	Number of Borrowers (b)	Debit Balances (c)	Credit Balances (d)
1.1. Cash on hand and in depository as of 6/30/2006			\$ 15041	
1.2. Cash on hand and in depository as of 10/31/2006	\$ 103819			
2. Funds receivable from federal government			\$ 0	
3. Funds receivable from school			\$ 0	
4. Funds advanced to students		1799	\$ 3307457	
5. Loan principal collected		1324		\$ 2118503
6. Loan principal assigned to and accepted by the United States		61		\$ 87977
<u>Loan principal canceled:</u>				
7. -for teaching/military service (applies to loans made prior to 07/01/1972)		1		\$ 1024
8. -for certain subject matter teaching service (math, science, foreign languages, bilingual education)		4		\$ 3310
9. -for all other authorized teaching service		56		\$ 85410
10. -for military service (applies to loans made 07/01/1972 and after)		0		\$ 0
11. -for volunteer service		0		\$ 0
12. -for law enforcement and corrections officer service		7		\$ 28435
13. -for child/family/early intervention service		3		\$ 2130
14. -for nurse/medical technician service		2		\$ 600
15. -for death/disability		17		\$ 33404
16. -for bankruptcy		7		\$ 13366
17. -for loans discharged due to closed schools		0		\$ 0
18. Loan principal adjustments - other		417		\$ 123
19. Federal Capital Contributions				\$ 805841
20. Repayments of fund capital to federal government			\$ 0	
21. Institutional Capital Contributions				\$ 122966
22. Repayments of fund capital to school			\$ 11265	
23. Interest income on loans				\$ 333754
24. Other income				\$ 760
25. Reimbursements to the Fund of the amounts canceled on loans made 07/01/1972 and after				\$ 123267
26.1. Administrative cost allowance	\$ 145650			
26.2. Collection costs	\$ 5068			
26.3. Administrative cost allowance and collection costs (control)			\$ 150718	
<u>Cost of loan principal and interest canceled:</u>				
27. -for teaching/military service (applies to loans made prior to 07/01/1972)			\$ 1024	
28. -for certain subject matter teaching service (math, science, foreign languages, bilingual education)			\$ 3420	
29. -for all other authorized teaching service (applies to loans made 07/01/1972 and after)			\$ 94303	
30. -for military service (applies to loans made 07/01/1972 and after)			\$ 0	
31. -for volunteer service in the Peace Corps or under the Domestic Volunteer Service Act of 1973			\$ 0	
32. -for law enforcement and corrections officer service			\$ 29596	
33. -for child/family/early intervention service			\$ 2130	
34. -for nurse/medical technician service			\$ 600	
35. -because of death/disability			\$ 34179	
36. -because of bankruptcy			\$ 14913	
37. Cost of loan principal and interest assigned to and accepted by the United States			\$ 96096	
38. Cost of loan principal and interest canceled for loans discharged due to closed schools			\$ 0	
39. Other costs or losses			\$ 128	
40. Total debits and credits (sum of fields 1.1 through 39)			\$ 3760870	\$ 3760870

Fiscal Operations Report, Part III (continued)

Name of school University of Texas at Dallas
OPEID Number 00974100 State TX

Part III. Federal Perkins Loan Program for Award Year July 1, 2005 through June 30, 2006

Section B. Fund Activity (Annual) During the 2005-2006 Award Year (July 1, 2005 through June 30, 2006)

	Number of Borrowers (a)	Amount (b)
1. Final adjusted Federal Capital Contribution (FCC) authorization		\$ <u>0</u>
2. FCC transferred to:		
a. FSEOG		\$ <u>0</u>
b. FWS		\$ <u>0</u>
3. The unexpended amount of final adjusted authorized FCC for award year 2005-2006 that was NOT requested from GAPS by June 30, 2006. This amount will be reduced from your total award amount next spring (see instructions).		\$ <u>0</u>
4. Institutional Capital Contribution (ICC) deposited into the Fund between July 1, 2005 and June 30, 2006		\$ <u>0</u>
5. Loans advanced to students from the Fund during the 2005-2006 award year (minus 2005-2006 award year refunds)		\$ <u>157640</u>
6. Administrative cost allowance claimed for the 2005-2006 award year (see instructions)		\$ <u>7963</u>
7. Total principal and interest repaid by borrowers from all sources during the 2005-2006 award year	<u>152</u>	\$ <u>144384</u>
8. Total principal repaid by borrowers from all sources during the 2005-2006 award year for loans in default for more than 2 years but not more than 5 years	<u>0</u>	\$ <u>0</u>
9. Total principal repaid by borrowers from all sources during the 2005-2006 award year for loans in default for more than 5 years	<u>0</u>	\$ <u>0</u>

Fiscal Operations Report, Part III (continued)

Name of school University of Texas at Dallas

OPEID Number 00974100 State TX

Part III. Federal Perkins Loan Program for Award Year July 1, 2005 through June 30, 2006

Section C. Cumulative Repayment Information as of June 30, 2006

Status of borrowers as of June 30, 2006	Amount (a)	Number of Borrowers (b)	Amount Lent (c)	Principal Amount Outstanding (d)
1.1. Borrowers whose loans are fully retired		<u>1213</u>	<u>\$ 2169995</u>	
1.2. Loans that have been purchased	<u>\$ 0</u>			
2. Borrowers whose loans were assigned to and officially accepted by the U.S. Department of Education as of June 30, 2006 (Note: Field 2 equals the sum of Field 2.1 plus Field 2.2)		<u>61</u>	<u>\$ 93358</u>	<u>\$ 87977</u>
2.1. Assignments due to default or liquidation		<u>60</u>	<u>\$ 91358</u>	<u>\$ 85977</u>
2.2. Assignments due to total and permanent disability discharge		<u>1</u>	<u>\$ 2000</u>	<u>\$ 2000</u>
3. Total borrowers not in repayment status		<u>261</u>		<u>\$ 404135</u>
4. Borrowers on schedule in repayment status		<u>51</u>		<u>\$ 63688</u>
5.1. In default less than 240 days (monthly installments) or less than 270 days (other installments)		<u>56</u>	<u>\$ 112177</u>	<u>\$ 102664</u>
5.2. In default 240 days or more (monthly installments) or 270 days or more (other installments), up to 2 years		<u>27</u>	<u>\$ 62395</u>	<u>\$ 54294</u>
5.3. In default more than 2 years but not more than 5 years		<u>25</u>	<u>\$ 49774</u>	<u>\$ 48697</u>
5.4. In default more than 5 years		<u>105</u>	<u>\$ 298787</u>	<u>\$ 255233</u>

Cohort Default Rate (Sections D and E)

My school had less than 30 borrowers who entered repayment in the 2004-2005 award year. ☐ yes ☒ no

Section D. Schools with 30 or More Borrowers Who Entered Repayment in the 2004-2005 Award Year

1.1. Number of borrowers who entered repayment in 2004-2005	<u>71</u>
1.2. Number of borrowers from Field 1.1 above with loans in default by June 30, 2006	<u>7</u>
1.3. Cohort default rate ((Field 1.2 / Field 1.1) x 100)	<u>9.86</u>

Section E. Schools with Fewer than 30 Borrowers Who Entered Repayment in the 2004-2005 Award Year

2.1. Number of borrowers who entered repayment in:	2.2. Number of borrowers with loans in default by:
(a) 2002-2003 (07/01/2002-06/30/2003) <u>0</u>	(a) June 30, 2004 (those in 2.1(a) only) <u>0</u>
(b) 2003-2004 (07/01/2003-06/30/2004) <u>0</u>	(b) June 30, 2005 (those in 2.1(b) only) <u>0</u>
(c) 2004-2005 (07-01/2004-06/30/2005) <u>0</u>	(c) June 30, 2006 (those in 2.1(c) only) <u>0</u>
2.3. Total number of borrowers who entered repayment during the three years above (fields 2.1(a) + 2.1(b) + 2.1(c))	<u>0</u>
2.4. Total number of borrowers with loans in default during the three years above (fields 2.2(a) + 2.2(b) + 2.2(c))	<u>0</u>
2.5. Cohort default rate ((Field 2.4 / Field 2.3) x 100)	<u>0</u>

Fiscal Operations Report, Part IV

Name of school University of Texas at Dallas
OPEID Number 00974100 State TX

Part IV. Federal Supplemental Educational Opportunity Grant (FSEOG) Program for Award Year July 1, 2005 through June 30, 2006

Section A. Federal Funds Authorized for FSEOG

1. Final adjusted FSEOG authorization \$ 313711

Section B. Federal Funds Available for FSEOG Expenditures

2. FWS funds transferred to and spent in FSEOG	+\$ <u>0</u>
3. Federal Perkins Federal Capital Contribution funds transferred to and spent in FSEOG	+\$ <u>0</u>
4. 2006-2007 FSEOG funds carried back and spent in 2005-2006	+\$ <u>0</u>
5. Additional 2006-2007 FSEOG funds carried back and spent for 2006 summer enrollment	+\$ <u>0</u>
6. 2004-2005 funds carried forward and spent in 2005-2006	+\$ <u>7735</u>
7. 2005-2006 funds carried forward to be spent in 2006-2007	-\$ <u>22646</u>
8. 2005-2006 funds carried back and spent in 2004-2005	-\$ <u>0</u>
9. Additional 2005-2006 funds carried back and spent for 2005 summer enrollment	-\$ <u>0</u>
10. Total federal funds available for 2005-2006 FSEOG (fields 1 + 2 + 3 + 4 + 5 + 6 - 7 - 8 - 9)	\$ <u>298800</u>

Section C. Funds to FSEOG Recipients

11. Total funds to FSEOG recipients (fields 12 + 13)	\$ <u>379333</u>
12. Non-federal share of funds to FSEOG recipients (25 percent of Field 11)	\$ <u>94833</u>
a. Cash outlay contributed	\$ <u>94833</u>
b. Other resources designated	\$ <u>0</u>

Section D. Federal Funds Spent for FSEOG Program

13. Federal share of funds to FSEOG recipients (75 percent of Field 11)	\$ <u>284500</u>
14. Administrative cost allowance claimed	+\$ <u>14225</u>
15. Federal funds spent for FSEOG (fields 13 + 14)	\$ <u>298725</u>

Section E. Use of FSEOG Authorization

16. Expended FSEOG authorization ((fields 7 + 8 + 9 + 15) minus (fields 2 + 3 + 4 + 5 + 6))	\$ <u>313636</u>
17. Unexpended FSEOG authorization (Field 1 - Field 16) (cannot be negative)	\$ <u>75</u>

Fiscal Operations Report, Part V

Name of school University of Texas at Dallas

OPEID Number 00974100 State TX

Part V. Federal Work-Study (FWS) Program for Award Year July 1, 2005 through June 30, 2006

Section A. Federal Funds Authorized for FWS

1. Final adjusted FWS authorization \$ 461240

Section B. Federal Funds Available for FWS Expenditures

2. Federal Perkins Federal Capital Contribution funds transferred to and spent in FWS +\$ 0
3. FWS funds transferred to and spent in FSEOG -\$ 0
4. 2006-2007 FWS funds carried back and spent in 2005-2006 +\$ 0
5. Additional 2006-2007 FWS funds carried back and spent for 2006 summer enrollment +\$ 0
6. 2004-2005 funds carried forward and spent in 2005-2006 +\$ 41220
7. 2005-2006 funds carried forward to be spent in 2006-2007 -\$ 46124
8. 2005-2006 funds carried back and spent in 2004-2005 -\$ 29286
9. Additional 2005-2006 funds carried back and spent for 2005 summer enrollment -\$ 0
10. Total federal funds available for 2005-2006 FWS (fields 1 + 2 - 3 + 4 + 5 + 6 - 7 - 8 - 9) \$ 427050

Section C. Total Compensation for FWS

11. Total earned compensation for FWS Program \$ 463808
 a. On-campus earned compensation \$ 430804
 b. Off-campus earned compensation for public or private non-profit agencies, excluding amounts reported in Field 11c \$ 33004
 c. Off-campus earned compensation for agencies that were unable to pay regular non-federal share and had a federal share up to 90 percent \$ 0
 d. Off-campus earned compensation for private for-profit organizations \$ 0
12. Total school share of earned compensation (see instructions) \$ 130994

Section D. Funds Spent from Federal Share of FWS

13. Total federal share of FWS earned compensation \$ 332814
 a. Federal share paid at a rate up to 75 percent \$ 332814
 b. Federal share paid at a rate up to 100 percent for waivers of non-federal share \$ 0
 c. Federal share paid at a rate up to 90 percent for agencies that were unable to pay regular non-federal share \$ 0
 d. Federal share paid at a rate up to 50 percent for off-campus, private for-profit organizations \$ 0
14. Administrative cost allowance claimed +\$ 24998
15. Federal share of Job Location and Development (JLD) Program expenditures +\$ 14901
16. Total federal funds spent for FWS (fields 13 + 14 + 15) \$ 372713

Section E. Use of FWS Authorization

17. Expended FWS authorization ((fields 3 + 7 + 8 + 9 + 16) minus (fields 2 + 4 + 5 + 6)) \$ 406903
18. Unexpended FWS authorization (Field 1 - Field 17) \$ 54337

Section F. Information About the Job Location and Development (JLD) Program

19. Total expenditures for the JLD Program \$ 38617
20. School expenditures for the JLD Program (see instructions) \$ 23716
21. Number of students for whom jobs were located or developed 843
22. Total earnings of the students in Field 21 above \$ 7283520

Fiscal Operations Report, Part V (continued)

Name of school University of Texas at Dallas
OPEID Number 00974100 State TX

Part V. Federal Work-Study (FWS) Program for Award Year July 1, 2005 through June 30, 2006

Section G. Information About FWS Students Employed in Community Service Activities

23. Number of students in community service employment	<u>14</u>
24. Federal share of community service earned compensation	\$ <u>29666</u>
25. Non-federal share of community service earned compensation	\$ <u>3413</u>

Section H. Information About FWS Students Employed as Reading Tutors of Children or Employed in Family Literacy Activities

26. Number of FWS students employed as reading tutors of children or employed in family literacy activities	<u>5</u>
27. Federal share of earned compensation for FWS students employed as reading tutors of children or employed in family literacy activities	\$ <u>12802</u>
a. Amount of the federal share in Field 27 spent on community service employment	\$ <u>12802</u>
28. Total earned compensation for FWS students employed as reading tutors of children or employed in family literacy activities	\$ <u>12873</u>

Section I. Information About FWS Students Employed as Mathematics Tutors of Children

29. Number of FWS students employed as mathematics tutors of children	<u>4</u>
30. Federal share of earned compensation for FWS students employed as mathematics tutors of children	\$ <u>7254</u>
31. Total earned compensation for FWS students employed as mathematics tutors of children	\$ <u>7294</u>

Fiscal Operations Report, Part VI

Name of school University of Texas at Dallas

OPEID Number 00974100 State TX

Part VI. Program Summary for Award Year July 1, 2005 through June 30, 2006

Section A. Distribution of Program Recipients and Expenditures by Type of Student

Taxable and Untaxed Income Category Student Type	Federal Perkins Loan		FSEOG		FWS		Unduplicated Recipients (g)
	Recipients (a)	Funds (b)	Recipients (c)	Funds (d)	Recipients (e)	Funds (f)	
Undergraduate Dependent							
1. \$0 - \$ 5,999	0	0	11	17250	4	12716	13
2. \$6,000 - \$11,999	2	2000	13	24250	5	16257	17
3. \$12,000 - \$23,999	8	8000	42	67750	24	76504	61
4. \$24,000 - \$29,999	3	3000	11	13750	14	51464	24
5. \$30,000 - \$41,999	9	9000	3	4000	25	77158	36
6. \$42,000 - \$59,999	3	3000	1	2000	19	60903	23
7. \$60,000 and over	2	3619	0	0	22	77541	24
Undergraduate Independent							
8. \$0 - \$ 1,999	9	15000	57	92000	8	28119	62
9. \$2,000 - \$ 3,999	8	10911	28	32000	4	14383	32
10. \$4,000 - \$ 7,999	15	22081	30	44082	2	2225	39
11. \$8,000 - \$11,999	7	10000	14	21000	4	6393	22
12. \$12,000 - \$15,999	11	12969	22	36501	3	11149	27
13. \$16,000 - \$19,999	3	3500	7	9000	3	3591	10
14. \$20,000 and over	29	50262	9	15750	6	15151	40
15. Graduate/Professional	5	4298	does not apply	does not apply	5	10254	10
16. Total	114	157640	248	379333	148	463808	440
17. Total less-than-full-time students	97	131766	188	250750	83	26713	312
18. Total "Automatic" Zero EFC students	12	21525	91	133250	18	61162	102

Fiscal Operations Report, Part VI (continued)

Name of school University of Texas at Dallas

OPEID Number 00974100 State TX

Part VI. Program Summary for Award Year July 1, 2005 through June 30, 2006

Section B. Calculating the Administrative Cost Allowance

Administrative Cost Allowance Worksheet (Worksheet must be retained for audit and program reviews)

Step 1. Calculate the amount spent in 2005-2006 on which the administrative cost allowance is based

1. Total compensation in FWS (amount from Part V, Section C, Field 11)	\$ 463808
2. Amount of Federal Perkins Loan funds advanced to students (amount from Part III, Section B, Field 5)	+\$ 157640
3. Total funds to FSEOG recipients (amount from Part IV, Section C, Field 11)	+\$ 379333
4. Total amount spent (fields 1 + 2 + 3)	\$ 1000781

Step 2. Calculate the administrative cost allowance

Schools whose total amount spent was \$2,750,000 or less

5. Enter total amount spent (Field 4)	\$ 1000781
6. Multiply	x 0.05
7. Total administrative cost allowance—go to Step 3	\$ 50039

Schools whose total amount spent was more than \$2,750,000 but less than \$5,500,000

8. Enter total amount spent (Field 4)	\$ 0
9. Subtract	-\$ 2,750,000
10. Expenditures over \$2,750,000 (Field 8 - Field 9)	\$ 0
11. Multiply	x 0.04
12. Administrative cost allowance on expenditures over \$2,750,000 (Field 10 x Field 11)	\$ 0
13. Add administrative cost allowance on expenditures of \$2,750,000 (\$2.75 million x .05)	+\$ 0
14. Total administrative cost allowance (Field 12 + Field 13)—go to Step 3	\$ 0

Schools whose total amount spent was \$5,500,000 or more

15. Enter total amount spent (Field 4)	\$ 0
16. Subtract	-\$ 5,500,000
17. Expenditures over \$5,500,000 (Field 15 - Field 16)	\$ 0
18. Multiply	x 0.03
19. Administrative cost allowance on expenditures over \$5,500,000 (Field 17 x Field 18)	\$ 0
20. Add administrative cost allowance on expenditures of \$5,500,000 ([\$2.75 million x .05] + Field 12)	+\$ 0
21. Total administrative cost allowance (Field 19 + Field 20)—go to Step 3	\$ 0

Step 3. Decide how much administrative cost allowance your school claimed

22. How much administrative cost did your school claim? (The amount may be the same or less than the amount calculated in Step 2.)	\$ 47186
23. How much administrative cost did your school claim in each program?	
a. Federal Perkins Loan (must be the same as Part III, Section B, Field 6)	\$ 7963
b. FSEOG (must be the same as Part IV, Section D, Field 14)	\$ 14225
c. FWS (must be the same as Part V, Section D, Field 14)	\$ 24998

Fiscal Operations Report and Application to Participate (FISAP)

Report: Award Year July 1, 2004 - June 30, 2005; Application: Award Year July 1, 2006 - June 30, 2007

Part I. Identifying Information, Certifications and Warning

Debarment Form and Signature Page

Section A. Identifying Information

1a. Name and address of school

University of Texas at Dallas
2601 North Floyd Road
Richardson TX 75080-1407

1b. Mailing address (if different from 1a)

2. OPEID Number 00974100

3. Type of school (select one)

- ☒ 3.1 public
☐ 3.2 private/non-profit
☐ 3.3 proprietary

(Select one if proprietary)

- ☐ a) art
☐ b) business
☐ c) cosmetology
☐ d) trade and technical
☐ e) other

4. Length/type of longest program (select one)

- ☐ 4.1 less than 1 year
☐ 4.2 1 year but less than 2 years
☐ 4.3 2 years but less than 3 years
☐ 4.4 3 years but less than 4 years
☐ 4.5 4 years (no higher than a baccalaureate degree)
☒ 4.6 5 years or more
☐ 4.7 postbaccalaureate only

5. Additional locations

Schools with separately eligible additional locations that will be funded under this application must list these locations and their addresses and OPEID Numbers on the screen. You may not file a separate application for any separately eligible school listed herein.

We have entered a list of separately eligible additional locations included in this application.

☐ yes ☒ no

6. Financial Aid Administrator

Name MARIA RAMOS
E-mail address RAMOS@UTDALLAS.EDU

Telephone No. (972) 883-4021
Fax No. (972) 883-2947

7. Name and address of private financial aid consultant firm, if any

Name
Address 1
Address 2
City State Zip

Section B. Certifications and Warning

Certifications Regarding Lobbying; Debarment, Suspension, and Other Responsibility Matters; and Drug-Free Workplace Requirements

Applicants should review the regulations and the instructions for certification included in the regulations before completing this form. Signature of this form provides for compliance with certification requirements under 34 CFR Part 82, "New Restrictions on Lobbying," and 34 CFR Part 85, "Government-wide Debarment and Suspension (Nonprocurement) and Government-wide Requirements for Drug-Free Workplace (Grants)." The certifications shall be treated as a material representation of fact upon which reliance will be placed when the Department of Education determines to award the covered transaction, grant, or cooperative agreement.

Debarment Form and Signature Page

Name of school University of Texas at Dallas

OPEID Number 00974100 State TX

1. Lobbying

As required by Section 1352, Title 31 of the U.S. Code, and implemented at 34 CFR Part 82, for persons entering into a grant or cooperative agreement over \$100,000, as defined at 34 CFR Part 82, Sections 82.105 and 82.110, the applicant certifies that:

- a) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the making of any Federal grant, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal grant or cooperative agreement;
- b) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal grant or cooperative agreement, the undersigned shall complete and submit Standard Form - LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions;
- c) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subgrants, contracts under grants and cooperative agreements, and subcontracts) and that all subrecipients shall certify and disclose accordingly.

2. Debarment, Suspension, and Other Responsibility Matters

As required by Executive Order 12549, Debarment and Suspension, and implemented at 34 CFR Part 85, for prospective participants in primary covered transactions, as defined at 34 CFR Part 85, Sections 85.105 and 85.110—

A. The applicant certifies that it and its principals:

- a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- b) Have not within a three-year period preceding this application been convicted of or had a civil judgement rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (2)(b) of this certification; and
- d) Have not within a three-year period preceding this application had one or more public transactions (Federal, State, or local) terminated for cause or default; and

B. Where the applicant is unable to certify to any of the statements in this certification, he or she shall attach an explanation to this application.

3. Drug-Free Workplace (Grantees Other Than Individuals)

As required by the Drug-Free Workplace Act of 1988, and implemented at 34 CFR Part 85, Subpart F, for grantees, as defined at 34 CFR Part 85, Sections 85.605 and 85.610—

A. The applicant certifies that it will, or will continue to, provide a drug-free workplace by:

- a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
- b) Establishing an on-going drug-free awareness program to inform employees about:
 - 1) The dangers of drug abuse in the workplace;
 - 2) The grantee's policy of maintaining a drug-free workplace;
 - 3) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - 4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
- c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);
- d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will:
 - 1) Abide by the terms of the statement; and
 - 2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

Debarment Form and Signature Page

Name of school University of Texas at Dallas

OPEID Number 00974100 State TX

- e) Notifying the agency, in writing, within 10 calendar days after receiving notice under subparagraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to:

Director, Grants Policy and Oversight Staff, U.S. Department of Education
400 Maryland Avenue, S.W. (Room 7065, Potomac Center Plaza)
Washington, DC 20202-4250

Notice shall include the identification number(s) of each affected grant;

- f) Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph (d)(2), with respect to any employee who is so convicted:
- 1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 - 2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
- g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e), and (f).

B. The applicant may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant: Place of Performance (Street address, city, county, state, zip code)

Check [] if there are workplaces on file that are not identified here.

Drug-Free Workplace (Grantees Who Are Individuals)

As required by the Drug-Free Workplace Act of 1988, and implemented at 34 CFR Part 85, Subpart F, for grantees, as defined at 34 CFR Part 85, Sections 85.605 and 85.610—

- A. As a condition of the grant, I certify that I will not engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance in conducting any activity with the grant; and
- B. If convicted of a criminal drug offense resulting from a violation occurring during the conduct of any grant activity, I will report the conviction, in writing, within 10 calendar days of the conviction, to: Director, Grants Policy and Oversight Staff, Department of Education, 400 Maryland Avenue, S.W. (Room 7065, Potomac Center Plaza), Washington, DC 20202-4250. Notice shall include the identification number(s) of each affected grant.

I CERTIFY THAT MY SCHOOL WILL COMPLY WITH THE ABOVE CERTIFICATIONS REGARDING LOBBYING; DEBARMENT, SUSPENSION AND OTHER RESPONSIBILITY MATTERS; AND DRUG-FREE WORKPLACE REQUIREMENTS. I FURTHER CERTIFY THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC FISAP IS IN COMPLIANCE WITH GOVERNING LEGISLATION AND REGULATIONS AND IS TRUE AND ACCURATE TO THE BEST OF MY KNOWLEDGE. I UNDERSTAND THAT ALL INFORMATION ASSOCIATED WITH THIS FISAP IS SUBJECT TO AUDIT AND PROGRAM REVIEW BY REPRESENTATIVES OF THE SECRETARY OF EDUCATION.

WARNING: ANY PERSON WHO KNOWINGLY PROVIDES FALSE OR MISLEADING INFORMATION ON THIS FISAP WILL BE SUBJECT TO A FINE OF UP TO \$10,000 OR IMPRISONMENT OF UP TO 5 YEARS OR BOTH UNDER PROVISIONS OF THE UNITED STATES CRIMINAL CODE TITLE 18 SECTION 1001.

8. Chief Executive Officer (President, Chancellor, Owner, etc.)

Signature _____
Name DR. David E. Daniel
Title CHIEF EXEC OFFICER
E-mail address dedaniel@utdallas.edu

Date signed 9/30/2005
Telephone No. (972) 883-2201
Fax No. (972) 883-2947

Mail form to:
FISAP Administrator
1951 Kidwell Drive, Eighth Floor
Vienna, Virginia 22182

Application to Participate, Part II

Name of school University of Texas at Dallas
OPEID Number 00974100 State TX

Part II. Application to Participate for Award Year July 1, 2006 through June 30, 2007

Section A. Request for Funds for the 2006-2007 Award Year

- | | |
|------------------------------------------------------|-------------------|
| 1. Federal Perkins Loan Level of Expenditures | \$ <u>5000000</u> |
| 2. Federal Perkins Loan Federal Capital Contribution | \$ <u>50000</u> |
| 3. FSEOG Federal Funds | \$ <u>5000000</u> |
| 4. FWS Federal Funds | \$ <u>5000000</u> |

Section B. Federal Perkins Loan Program Liquidation Request

(Applies only to certain schools; see instructions)

5. My school wishes to discontinue participation in the Federal Perkins Loan Program. ☐ yes ☒ no

Section C. Waiver Request for the Underuse of Funds

My school has returned more than 10 percent of its Federal Perkins Loan, FSEOG, or FWS allocation for the 2004-2005 Award Year.

6. My school wishes to apply for a waiver of the penalty for the underuse of funds and will provide, on the additional information screen, a written explanation of the circumstances. ☐ yes ☒ no

Application to Participate, Part II

Name of school University of Texas at Dallas
OPEID Number 00974100 State TX

Part II. Application to Participate for Award Year July 1, 2006 through June 30, 2007

Section D. Information on Enrollment

My school has a traditional calendar. ☒ yes ☐ no

Schools with a non-traditional calendar that had 2004-2005 enrollment, fill in Fields 9 through 20.

Schools with a traditional calendar that had 2004-2005 enrollment, fill in Field 7.

	Undergraduate (a)	Graduate/Professional (b)		Continuing Students (a)	New Starts (b)
			9. July 1, 2004	0	0
			10. August 1	0	0
			11. September 1	0	0
			12. October 1	0	0
			13. November 1	0	0
			14. December 1	0	0
			15. January 1, 2005	0	0
			16. February 1	0	0
			17. March 1	0	0
			18. April 1	0	0
			19. May 1	0	0
			20. June 1, 2005	0	0
			21. TOTAL	0	0
7. Total number of students, 2004-2005	9070	5022			

Schools with a traditional calendar that did not have 2004-2005 enrollment, fill in Field 8.

	Undergraduate (a)	Graduate/Professional (b)
8. Estimated number of students, 2005-2006	0	0

Section E. Assessments and Expenditures

	Undergraduate (a)	Graduate/Professional (b)
22. Total tuition and fees for the Award Year July 1, 2004 to June 30, 2005	\$ 56169168	\$ 31437462
23. Total Federal Pell Grant expenditures for the 2004-2005 Award Year	\$ 5720899	
24. Total expended for State grants and scholarships made to undergraduates for the Award Year July 1, 2004 to June 30, 2005	\$ 3691612	

Section F. Information on Eligible Aid Applicants for Award Year 2004-2005

	Dependent Undergraduate Without 1st Prof. Degree (a)	Dependent Undergraduate With Degree (b)	Independent Undergraduate Without 1st Prof. Degree (c)	Independent Undergraduate With Degree (d)	Independent Graduate/ Professional (e)
25. Students with an "Automatic" Zero EFC	199	1	210	5	215
Taxable and Untaxed Income					
26. \$0 - \$2,999	12	0	\$0 - \$999	267	8
27. \$3,000 - \$5,999	13	0	\$1,000 - \$1,999	72	3
28. \$6,000 - \$8,999	16	0	\$2,000 - \$2,999	75	2
29. \$9,000 - \$11,999	29	1	\$3,000 - \$3,999	78	2
30. \$12,000 - \$14,999	27	0	\$4,000 - \$4,999	69	4
31. \$15,000 - \$17,999	43	0	\$5,000 - \$5,999	77	1
32. \$18,000 - \$23,999	138	0	\$6,000 - \$7,999	182	6
33. \$24,000 - \$29,999	203	1	\$8,000 - \$9,999	125	8
34. \$30,000 - \$35,999	204	0	\$10,000 - \$11,999	122	4
35. \$36,000 - \$41,999	189	0	\$12,000 - \$13,999	123	9
36. \$42,000 - \$47,999	186	1	\$14,000 - \$15,999	126	4
37. \$48,000 - \$53,999	162	1	\$16,000 - \$17,999	121	5
38. \$54,000 - \$59,999	157	0	\$18,000 - \$19,999	143	2
39. \$60,000 & over	1463	7	\$20,000 & over	1926	54
40. TOTAL	3041	12	TOTAL	3716	117

Fiscal Operations Report, Part III

Name of school University of Texas at Dallas

OPEID Number 00974100 State TX

Part III. Federal Perkins Loan Program for Award Year July 1, 2004 through June 30, 2005

Section A. Fiscal Report (Cumulative) as of June 30, 2005

Field Item	Amount (a)	Number of Borrowers (b)	Debit Balances (c)	Credit Balances (d)
1.1. Cash on hand and in depository as of 6/30/2005			\$ 34415	
1.2. Cash on hand and in depository as of 10/31/2005	\$ 74242			
2. Funds receivable from Federal government			\$ 0	
3. Funds receivable from school			\$ 0	
4. Funds advanced to students		1704	\$ 3149817	
5. Loan principal collected		1252		\$ 1980503
6. Loan principal assigned to and accepted by the United States		60		\$ 85977
<u>Loan principal canceled:</u>				
7. -for teaching/military service on loans made prior to 07/01/1972		1		\$ 1024
8. -for certain subject matter teaching service (math, science, foreign languages, bilingual education)		2		\$ 1600
9. -for all other authorized teaching service		54		\$ 83560
10. -for military service on loans made 07/01/1972 and after		0		\$ 0
11. -for volunteer service		0		\$ 0
12. -for law enforcement and corrections officer service		7		\$ 28435
13. -for child/family/early intervention service		3		\$ 2130
14. -for nurse/medical technician service		1		\$ 150
15. -for death/disability		17		\$ 33404
16. -for bankruptcy		7		\$ 13366
17. -for loans discharged due to closed schools		0		\$ 0
18. Loan principal adjustments - other		396		\$ 115
19. Federal Capital Contributions				\$ 805841
20. Repayments of fund capital to Federal government			\$ 0	
21. Institutional Capital Contributions				\$ 122966
22. Repayments of fund capital to school			\$ 11265	
23. Interest income on loans				\$ 327637
24. Other income				\$ 685
25. Reimbursements to the Fund of the amounts canceled on loans made 07/01/1972 and after				\$ 121119
26.1. Administrative cost allowance	\$ 137687			
26.2. Collection costs	\$ 5068			
26.3. Administrative cost allowance and collection costs (control)			\$ 142755	
<u>Cost of loan principal and interest canceled:</u>				
27. -for teaching/military service on loans made prior to 07/01/1972			\$ 1024	
28. -for certain subject matter teaching service (math, science, foreign languages, bilingual education)			\$ 1600	
29. -for all other authorized teaching service on loans made 07/01/1972 and after			\$ 92453	
30. -for military service on loans made 07/01/1972 and after			\$ 0	
31. -for volunteer service in the Peace Corps or under the Domestic Volunteer Service Act of 1973			\$ 0	
32. -for law enforcement and corrections officer service			\$ 29596	
33. -for child/family/early intervention service			\$ 2130	
34. -for nurse/medical technician service			\$ 150	
35. -because of death/disability			\$ 34179	
36. -because of bankruptcy			\$ 14913	
37. Cost of loan principal and interest assigned to and accepted by the United States			\$ 94096	
38. Cost of loan principal and interest canceled for loans discharged due to closed schools			\$ 0	
39. Other costs or losses			\$ 119	
40. Total debits and credits (sum of Fields 1.1 through 39)			\$ 3608512	\$ 3608512

Fiscal Operations Report, Part III

Name of school University of Texas at Dallas

OPEID Number 00974100 State TX

Part III. Federal Perkins Loan Program for Award Year July 1, 2004 through June 30, 2005

Section B. Fund Activity (Annual) During the 2004-2005 Award Year (July 1, 2004 through June 30, 2005)

	Number of Borrowers (a)	Amount (b)
1. Final adjusted Federal Capital Contribution (FCC) authorization		\$ 24788
2. FCC transferred to:		
a. FSEOG		\$ 0
b. FWS		\$ 0
3. The unexpended amount of final adjusted authorized FCC for Award Year 2004-2005 that was NOT requested from GAPS by June 30, 2005. This amount will be reduced from your total award amount next spring (see instructions).		\$ 0
4. Institutional Capital Contribution (ICC) deposited into the Fund between July 1, 2004 and June 30, 2005		\$ 8263
5. Loans advanced to students from the Fund during the 2004-2005 Award Year (minus 2004-2005 Award Year refunds)		\$ 118162
6. Administrative cost allowance claimed for the 2004-2005 Award Year (see instructions)		\$ 5908
7. Total principal and interest repaid by borrowers from all sources during the 2004-2005 Award Year	169	\$ 126882
8. Total principal repaid by borrowers from all sources during the 2004-2005 Award Year for loans in default for more than 2 years but not more than 5 years	4	\$ 6874
9. Total principal repaid by borrowers from all sources during the 2004-2005 Award Year for loans in default for more than 5 years	0	\$ 0

Fiscal Operations Report, Part III

Name of school University of Texas at Dallas
OPEID Number 00974100 State TX

Part III. Federal Perkins Loan Program for Award Year July 1, 2004 through June 30, 2005

Section C. Cumulative Repayment Information as of June 30, 2005

Status of borrowers as of June 30, 2005	Amount (a)	Number of Borrowers (b)	Amount Lent (c)	Principal Amount Outstanding (d)
1.1. Borrowers whose loans are fully retired		<u>1128</u>	<u>\$ 2006581</u>	
1.2. Loans that have been purchased	<u>\$ 0</u>			
2. Borrowers whose loans were assigned to and officially accepted by the Department of Education as of June 30, 2005 (Note: Field 2 equals the sum of Field 2.1 plus Field 2.2)		<u>60</u>	<u>\$ 91358</u>	<u>\$ 85977</u>
2.1. Assignments due to default or liquidation		<u>60</u>	<u>\$ 91358</u>	<u>\$ 85977</u>
2.2. Assignments due to total and permanent disability discharge		<u>0</u>	<u>\$ 0</u>	<u>\$ 0</u>
3. Total borrowers not in repayment status		<u>264</u>		<u>\$ 437369</u>
4. Borrowers on schedule in repayment status		<u>60</u>		<u>\$ 66308</u>
5.1. In default less than 240 days (monthly installments) or less than 270 days (other installments)		<u>47</u>	<u>\$ 97152</u>	<u>\$ 85901</u>
5.2. In default 240 days or more (monthly installments) or 270 days or more (other installments), up to 2 years		<u>29</u>	<u>\$ 62424</u>	<u>\$ 50611</u>
5.3. In default more than 2 years but not more than 5 years		<u>13</u>	<u>\$ 24800</u>	<u>\$ 21868</u>
5.4. In default more than 5 years		<u>103</u>	<u>\$ 295787</u>	<u>\$ 254078</u>

Cohort Default Rate

My school had less than 30 borrowers who entered repayment in the 2003-2004 Award Year ☐ yes ☒ no

Section D. Schools with 30 or More Borrowers Who Entered Repayment in the 2003-2004 Award Year

1.1. Number of borrowers who entered repayment in 2003-2004	<u>72</u>
1.2. Number of borrowers from Field 1.1 above with loans in default by June 30, 2005	<u>9</u>
1.3. Cohort default rate (Field 1.2 / Field 1.1 * 100)	<u>12.50</u>

Section E. Schools with Fewer Than 30 Borrowers Who Entered Repayment in the 2003-2004 Award Year

2.1. Number of borrowers who entered repayment in:	2.2. Number of borrowers with loans in default by:
(a) 2001-2002 (07/01/2001-06/30/2002) <u>0</u>	(a) June 30, 2003 (those in 2.1 (a) only) <u>0</u>
(b) 2002-2003 (07/01/2002-06/30/2003) <u>0</u>	(b) June 30, 2004 (those in 2.1 (b) only) <u>0</u>
(c) 2003-2004 (07-01/2003-06/30/2004) <u>0</u>	(c) June 30, 2005 (those in 2.1 (c) only) <u>0</u>
2.3. Total number of borrowers who entered repayment during the three years above (Fields 2.1(a) + 2.1(b) + 2.1(c))	<u>0</u>
2.4. Total number of borrowers with loans in default during the three years above (Fields 2.2(a) + 2.2(b) + 2.2(c))	<u>0</u>
2.5. Cohort default rate (Field 2.4 / Field 2.3 * 100)	<u>0</u>

Fiscal Operations Report, Part IV

Name of school University of Texas at Dallas

OPEID Number 00974100 State TX

Part IV. Federal Supplemental Educational Opportunity Grant (FSEOG) Program for Award Year July 1, 2004 through June 30, 2005

Section A. Federal Funds Authorized for FSEOG

1. Final adjusted FSEOG authorization \$ 245715

Section B. Federal Funds Available for FSEOG Expenditures

2. FWS funds transferred to and spent in FSEOG	<u>+\$ 0</u>
3. Federal Perkins Federal Capital Contribution funds transferred to and spent in FSEOG	<u>+\$ 0</u>
4. 2005-2006 FSEOG funds carried back and spent in 2004-2005	<u>+\$ 0</u>
5. Additional 2005-2006 FSEOG funds carried back and spent for 2005 summer enrollment	<u>+\$ 0</u>
6. 2003-2004 funds carried forward and spent in 2004-2005	<u>+\$ 17032</u>
7. 2004-2005 funds carried forward to be spent in 2005-2006	<u>-\$ 7735</u>
8. 2004-2005 funds carried back and spent in 2003-2004	<u>-\$ 0</u>
9. Additional 2004-2005 funds carried back and spent for 2004 summer enrollment	<u>-\$ 0</u>
10. Total Federal funds available for 2004-2005 FSEOG (Fields 1 + 2 + 3 + 4 + 5 + 6 - 7 - 8 - 9)	<u>\$ 255012</u>

Section C. Funds to FSEOG Recipients

11. Total funds to FSEOG recipients (Fields 12 + 13)	<u>\$ 323825</u>
12. Non-Federal share of funds to FSEOG recipients (25% of Field 11)	<u>\$ 80956</u>
a. Cash outlay contributed	<u>\$ 0</u>
b. Other resources designated	<u>\$ 80956</u>

Section D. Federal Funds Spent for FSEOG Program

13. Federal share of funds to FSEOG recipients (75% of Field 11)	<u>\$ 242869</u>
14. Administrative cost allowance claimed	<u>+\$ 12143</u>
15. Federal funds spent for FSEOG (Fields 13 + 14)	<u>\$ 255012</u>

Section E. Use of FSEOG Authorization

16. Expended FSEOG authorization (Fields 7 + 8 + 9 + 15) minus (Fields 2 + 3 + 4 + 5 + 6)	<u>\$ 245715</u>
17. Unexpended FSEOG authorization (Field 1 - Field 16) (cannot be negative)	<u>\$ 0</u>

Fiscal Operations Report, Part V

Name of school University of Texas at Dallas

OPEID Number 00974100

State TX

Part V. Federal Work-Study (FWS) Program for Award Year July 1, 2004 through June 30, 2005

Section A. Federal Funds Authorized for FWS

1. Final adjusted FWS authorization \$ 417124

Section B. Federal Funds Available for FWS Expenditures

2. Federal Perkins Federal Capital Contribution funds transferred to and spent in FWS +\$ 0
3. FWS funds transferred to and spent in FSEOG -\$ 0
4. 2005-2006 FWS funds carried back and spent in 2004-2005 +\$ 29286
5. Additional 2005-2006 FWS funds carried back and spent for 2005 summer enrollment +\$ 0
6. 2003-2004 funds carried forward and spent in 2004-2005 +\$ 32929
7. 2004-2005 funds carried forward to be spent in 2005-2006 -\$ 41220
8. 2004-2005 funds carried back and spent in 2003-2004 -\$ 0
9. Additional 2004-2005 funds carried back and spent for 2004 summer enrollment -\$ 0
10. Total Federal funds available for 2004-2005 FWS (Fields 1 + 2 - 3 + 4 + 5 + 6 - 7 - 8 - 9) \$ 438119

Section C. Total Compensation for FWS

11. Total earned compensation for FWS Program \$ 520630
a. On-campus earned compensation \$ 486465
b. Off-campus earned compensation for public or private non-profit agencies, excluding amounts reported in Field 11c \$ 34165
c. Off-campus earned compensation for agencies that were unable to pay regular non-Federal share and had a Federal share up to 90% \$ 0
d. Off-campus earned compensation for private for-profit organizations \$ 0
12. Total institutional share of earned compensation (see instructions) \$ 130157

Section D. Funds Spent from Federal Share of FWS

13. Total Federal share of FWS earned compensation \$ 390473
a. Federal share paid at a rate up to 75% \$ 364849
b. Federal share paid at a rate up to 100% for waivers of non-Federal share \$ 25624
c. Federal share paid at a rate up to 90% for agencies that were unable to pay regular non-Federal share \$ 0
d. Federal share paid at a rate up to 50% for off-campus private for-profit organizations \$ 0
14. Administrative cost allowance claimed +\$ 26032
15. Federal share of Job Location and Development (JLD) Program expenditures +\$ 21614
16. Total Federal funds spent for FWS (Fields 13 + 14 + 15) \$ 438119

Section E. Use of FWS Authorization

17. Expended FWS authorization (Fields 3 + 7 + 8 + 9 + 16) minus (Fields 2 + 4 + 5 + 6) \$ 417124
18. Unexpended FWS authorization (Field 1 - Field 17) \$ 0

Section F. Information About the Job Location and Development (JLD) Program

19. Total expenditures for the JLD Program \$ 51495
20. School expenditures for the JLD Program (see instructions) \$ 29881
21. Number of students for whom jobs were located or developed 854
22. Total earnings of the students in Field 21 above \$ 7993440

Fiscal Operations Report, Part V

Name of school University of Texas at Dallas
OPEID Number 00974100 State TX

Part V. Federal Work-Study (FWS) Program for Award Year July 1, 2004 through June 30, 2005

Section G. Information About FWS Students Employed in Community Service Activities

23. Number of students in community service employment	<u>12</u>
24. Federal share of community service earned compensation	<u>\$ 26034</u>
25. Non-Federal share of community service earned compensation	<u>\$ 8678</u>

Section H. Information About FWS Students Employed as Reading Tutors of Children or Employed in Family Literacy Activities

26. Number of FWS students employed as reading tutors of children or employed in family literacy activities	<u>8</u>
27. Federal share of earned compensation for FWS students employed as reading tutors of children or employed in family literacy activities	<u>\$ 13342</u>
a. Amount of the Federal share in Field 27 spent on community service employment	<u>\$ 13342</u>
28. Total earned compensation for FWS students employed as reading tutors of children or employed in family literacy activities	<u>\$ 17790</u>

Section I. Information About FWS Students Employed as Mathematics Tutors of Children

29. Number of FWS students employed as mathematics tutors of children	<u>2</u>
30. Federal share of earned compensation for FWS students employed as mathematics tutors of children	<u>\$ 2760</u>
31. Total earned compensation for FWS students employed as mathematics tutors of children	<u>\$ 3679</u>

Fiscal Operations Report, Part VI

Name of school University of Texas at Dallas

OPEID Number 00974100 State TX

Part VI. Program Summary for Award Year July 1, 2004 through June 30, 2005

Section A. Distribution of Program Recipients and Expenditures by Type of Student

Taxable and Untaxed Income Category Student Type	Federal Perkins Loan Recipients (a)	Funds (b)	FSEOG Recipients (c)	Funds (d)	FWS Recipients (e)	Funds (f)	Unduplicated Recipients (g)
Undergraduate Dependent							
1. \$ 0 - \$ 5,999	0	0	6	10667	5	28797	8
2. \$ 6,000 - \$11,999	0	0	11	20000	8	34132	16
3. \$12,000 - \$23,999	3	3000	25	46667	22	96895	47
4. \$24,000 - \$29,999	2	2000	8	12667	13	46171	23
5. \$30,000 - \$41,999	3	5000	4	6667	21	65429	29
6. \$42,000 - \$59,999	5	9000	0	0	21	66372	26
7. \$60,000 and over	1	959	0	0	19	74444	20
Undergraduate Independent							
8. \$ 0 - \$ 1,999	4	4683	42	83990	4	8723	44
9. \$ 2,000 - \$ 3,999	4	8000	22	49333	4	16212	24
10. \$ 4,000 - \$ 7,999	8	11500	23	44651	7	19305	33
11. \$ 8,000 - \$11,999	8	16500	8	15200	2	2694	16
12. \$12,000 - \$15,999	4	6000	7	12000	3	9808	13
13. \$16,000 - \$19,999	5	5000	6	9983	1	6048	11
14. \$20,000 and over	18	34026	4	12000	4	14661	25
15. Graduate/Professional	7	12494	does not apply	does not apply	15	30939	25
16. Total	72	118162	166	323825	149	520630	360
17. Total less-than-full-time students	26	57559	36	46881	24	68597	82
18. Total "Automatic" Zero EFC students	8	15000	66	92400	25	108606	87

Fiscal Operations Report, Part VI

Name of school University of Texas at Dallas

OPEID Number 00974100 State TX

Part VI. Program Summary for Award Year July 1, 2004 through June 30, 2005

Section B. Calculating the Administrative Cost Allowance

Administrative Cost Allowance Worksheet (Worksheet must be retained for audit and program reviews)

Step 1. Calculate the amount spent in 2004-2005 on which the administrative cost allowance is based

1. Total compensation in FWS (amount from Part V, Section C, Field 11)	\$ 520630
2. Amount of Federal Perkins Loan funds advanced to students (amount from Part III, Section B, Field 5)	+\$ 118162
3. Total funds to FSEOG recipients (amount from Part IV, Section C, Field 11)	+\$ 323825
4. Total amount spent (Fields 1 + 2 + 3)	\$ 962617

Step 2. Calculate the administrative cost allowance

Schools whose total amount spent was \$2,750,000 or less

5. Enter total amount spent (Field 4)	\$ 962617
6. Multiply	x 0.05
7. Total administrative cost allowance—go to step 3	\$ 48131

Schools whose total amount spent was more than \$2,750,000 but less than \$5,500,000

8. Enter total amount spent (Field 4)	\$ 0
9. Subtract	-\$ 2,750,000
10. Expenditures over \$2,750,000 (Field 8 - Field 9)	\$ 0
11. Multiply	x 0.04
12. Administrative cost allowance on expenditures over \$2,750,000 (Field 10 x Field 11)	\$ 0
13. Add administrative cost allowance on expenditures of \$2,750,000	+\$ 0
14. Total administrative cost allowance (Field 12 + Field 13)—go to step 3	\$ 0

Schools whose total amount spent was \$5,500,000 or more

15. Enter total amount spent (Field 4)	\$ 0
16. Subtract	-\$ 5,500,000
17. Expenditures over \$5,500,000 (Field 15 - Field 16)	\$ 0
18. Multiply	x 0.03
19. Administrative cost allowance on expenditures over \$5,500,000 (Field 17 x Field 18)	\$ 0
20. Add administrative cost allowance on expenditures of \$5,500,000	+\$ 0
21. Total administrative cost allowance (Field 19 + Field 20)—go to step 3	\$ 0

Step 3. Decide how much administrative cost allowance your school claimed

22. How much administrative cost did your school claim (the amount may be the same or less than the amount calculated in Step 2)	\$ 44083
23. How much administrative cost did your school claim in each program?	
a. Federal Perkins Loan (must be the same as Part III, Section B, Field 6)	\$ 5908
b. FSEOG (must be the same as Part IV, Section D, Field 14)	\$ 12143
c. FWS (must be the same as Part V, Section D, Field 14)	\$ 26032