The University of Texas at Dallas

2018 SACSCOC Financial and Physical Resources and Information Technology (FPRIT) Committee Meeting Minutes

June 27, 2016
4:00 pm – 5:00 pm Lone Star Conference Room (AD 3.104)

I.   Attendees

Member	In Attendance	Member	In Attendance
Denise Paquette Boots	X	Kim Laird	X
Mariah Armitage		Terry Pankratz	X
Paula Austell		Ravi Prakash	X
Darren Crone	X	Toni Stephens	X
Naomi Emmett	X	Chad Thomas	X
Joseph M. Izen		M. Beth Tolan	X
Abby Kratz	X	Scott Willett	X
Kelly Kinnard	X	Larry Zacharias	

II.   Call to Order and Introductions (Kim Laird)

III.   Approval of May 23, 2016 Meeting Minutes

Chad Thomas motioned to approve, Naomi Emmett seconded the motion. The meeting minutes were approved unanimously.

IV.   Announcements/Update (Laird presented the following information)
   a. SACSCOC Policy and Document Update (Laird)
      i. Substantive Change for SACSCOC Accredited Institutions (policy statement including reporting requirements for various types of changes)
         1. Last edited in January 2015, adopted by SACSCOC Executive Council in March 2016
         2. http://www.sacscoc.org/pdf/081705/SubstantiveChange.pdf
         3. The matrix for "Reporting the Various Types of Substantive Change" has been revised
            a.   UT Dallas' website will be updated accordingly
         4. Additional forms, including documentation templates, have been created for various substantive changes for the SACSCOC Substantive Change Committee reviewers/visits

      ii. Compliance Certification Document (this is the compliance certification document used by institutions to identify compliance)

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1. Updated in May 2016 to replace policy wording in CS 3.13.2 and CS 3.13.4a.
   a. CS 3.13.2 Agreements Involving Joint and Dual Academic Awards: Policy and Procedures
   b. CS 3.13.4.a: Applicable Policy Statement: Distance and Correspondence Education under Reaffirmation of Accreditation and Subsequent Reports
2. See "Compliance Certification Document" under the Reaffirmation: Documents, Policies, and Timelines section of the SACSCOC Institutional Resources web page: http://www.sacscoc.org/inst_forms_and_info1.asp

iii. Report of the Reaffirmation Committee (used by peer reviewers for on- and off-site reviews)
   1. Revised in January 2012, updated in May 2016.
   2. See "Report of the Reaffirmation Committee" under the Committee Report Forms section of the SACSCOC Committee Resources web page: http://www.sacscoc.org/committee_forms1.asp

iv. Direct Assessment of Competency-Based Education Programs (policy statement)
   1. Edited in May 2016.
   2. http://www.sacscoc.org/pdf/081705/DirectAssessmentCompetencyBased.pdf

v. Mergers, Consolidations, Change of Ownership, Acquisitions, and Change of Governance, Control, form, or Legal Status (policy statement)
   1. Revised in December 2015, updated in May 2016.
   2. http://www.sacscoc.org/pdf/081705/Mergers.pdf

vi. Requests for Data or Research Assistance (guideline on requesting data by grad students researching dissertation on accreditation topics and/or faculty members working on scholarly projects)
   1. Approved by SACSCOC Executive Council in December 2015
   2. http://www.sacscoc.org/pdf/081705/RequestsDataResearch.pdf

vii. Preliminary 2015 Top 10 Most Frequently Sited Principles
   1. Chart has been added to the UT Dallas' website / sidebar menu
   2. New cited Principles: CS 3.2.9, CS 3.2.14, CS 3.10.3, and CS 3.3.1.4
   3. http://dox.utdallas.edu/chart1238
   4. Note changes from prior year

viii. June SACSCOC Actions
   1. Example Actions
      a. Centenary College of Louisiana— financial findings
      b. Angelina College – Integrity and Institutional Effectiveness findings
   2. Note format and language used for disclosing action

      3. Full list of actions available from SACSCOC: http://www.sacscoc.org/2016JuneActionsanddisclosurestatements/16cractjune.pdf .

b. Wheelan and Elgart's Response (May 25 Chronicle of Higher Education) to Department of Education Letter (Laird)
- i. Public response to Departments of Education Letter released April 22
- ii. Provides guidance on executive actions announced by DOE in April
- iii. Concerned with DOE's greater emphasis on indicators such as retention rates, graduation, job placement, student loan repayment, & student loan default
    1. Encourages collection of data by accreditors that is outside of their mission
    2. Lack degree of nuance for actionable feedback
    3. Forces institutions to focus on outcomes—some of which IHE has no control over
    4. Lack information on students' experience of attending institution
- iv. Other red flags raised
    1. Common outcomes metrics may affect resource allocation to IHEs with specific missions (HBCU, HSI)
    2. Loan repayment rates not entirely within IHE control
    3. May encourage IHEs to change admissions or grading policies to produce higher rates (grad, retention)
    4. Measures rely on IPEDS which to date has collected data primarily on FT freshman—significant non-traditional population
    5. Data that inform federal policy do not necessarily help guide institutional performance

c. Fain's Article (June 21 Inside Higher Ed) Scorecard for Accreditors (Laird)
- i. Introduction to the National Advisory Committee on Institutional Quality and Integrity's report issued at their June 22-24 national meeting
- ii. Scorecard approach for accreditors
    1. Demographics
    2. Underrepresented student populations
    3. Graduation and earnings
    4. Loan performance
    5. Debt and net price

d. Student Financial Aid Audit (Beth Tolan)
- i. Response to audit requests related to technology controls and monitoring submitted
- ii. SAO team will be onsite the weeks of October 3 and October 10

   iii. Researching concerns over responsibility for auditing state-aid with peers
     1. UTD is planning to rely on the work and controls of the Texas Higher Education Coordinating Board
  e. QEP Topic Selection Update (Laird)
   i. Topic submission closed June 1
   ii. 13 comprehensive proposals received in five categories
     1. Digital Learning (1)
     2. Curricular globalization (1)
     3. Health and Wellness (1)
     4. First-year experience (6)
     5. Communication (4)
   iii. Topic selection committee reviewing proposals and relevant outcome metrics
   iv. Will make recommendations at July meeting

V. Additional Committee Resources
  a. UT System Web Resources for SACSCOC CCR (Laird)
   i. System-wide resources general available via the following link: https://www.utsystem.edu/sites/system-accreditation
   ii. Resources for Completing Compliance Certification Reports available from: https://www.utsystem.edu/sites/system-accreditation/resources-completing-compliance-certification-reports
   iii. Resources include:
     1. Board of Regent's information and bios
     2. BOR Rules and Regs
     3. BOR meeting minutes
     4. Texas Education Code citations and links
     5. Texas Government code citations and links
     6. IHE mission statements approved by THECB
     7. UT System dashboard
     8. THECB Accountability & Coordinating Board reports
   iv. Special mention of 2.11 Financial Resources
     1. Internal Audit
     2. UTS Office of the Controller
   v. Special mention of 3.11 Physical Resources
     1. Regent's rule 80101 - Category of Facilities
     2. Regent's rule 80104 – Use of Facilities

  b. UT Dallas Reaffirmation Project Technical Resources
   i. Provided by the Provost Technology Group

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        ii.   Information available via the following link (http://sacscoc.utdallas.edu/ptg) for accessing the 2018 Reaffirmation Team Server (Workspace) and the doxDocument Repository

  c. Access to the Provost Technology Group (PTG) Workspace and doxSearch Resources (Tolan)

        i.   An overview of the available PTG resources was presented. Security and technical issues prevented a complete demonstration of the resources.

        ii.   Tolan followed up with committee members on June 28 and 29 with the following information

            1.   Instructions to access to access the SACSCOC workspace

            2.   Links to the form to request access to the doxSearch tool (reserved for workgroup leads)

            3.   Affirmation of successful access and login to the SACSCOC workspace

VI.   Workgroup Updates (Laird)

  a. FPRIT narrative workgroup updates

        i.   General impressions, questions, comments, concerns

        ii.   Much has changed since 2007 including the organizational structure.

            1.   In 2007, one division responsible for overseeing financial and physical resources. Currently, financial resources are managed by the Office of Budget and Finance and physical resources are managed by the Office of Administration.

            2.   The institutional environment has also changed. Changes have been made to Title IX with regard to a university Emergency Operations Plan, emergency notification, and Clery reporting.

        iii.   Narrative drafts will need to be circulated among subject matter experts in an organized and hierarchical manner. For example, Associate Vice President Rick Dempsey will be of tremendous assistance in updating 2.11.2 and 3.11.3. His update will need to precede the effort by the FPRIT workgroups assigned to these narratives.

        iv.   Additional resources needed?

            1.   While workgroups are consulting subject matter experts outside of the FPRIT committee, no additional resources are needed at this time.

  b. Alumni E-mail Workgroup (Scott Willett)

        i.   Workgroup met and discussed problem of extending e-mail access to former students

        ii. Office of Information Technology is exploring tools and configuration options that could facilitate access to an e-mail account that never expires

VII. Summer Meeting Schedule (Laird)
   a. July 25, 2016 (available if needed; workgroups will take advantage of time to continue reviewing assigned narratives)
   b. August 22, 2016
VIII. Adjournment (Laird)

With no further business to discuss, the FPRIT regular committee meeting adjourned at approximately 4:55 pm.